

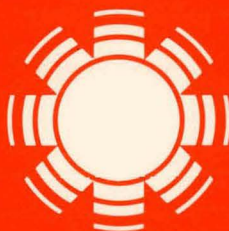
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Photobiological Production of Hydrogen - A Solar Energy Conversion Option

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SERI

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A Division of Midwest Research Institute

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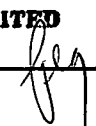
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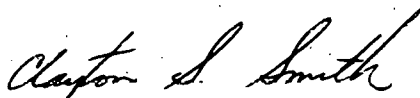
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FOREWORD

This review was compiled by the Photobiology Staff of the Biological and Chemical Conversion Branch in compliance with Contract EG-77-C-01-4042 for the Division of Solar Technology of the U.S. Department of Energy. Task 3308, of which this report is a part, was identified in the approved SERI FY78 Annual Operating Plan as a Research Division effort.



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ABSTRACT

This literature survey of photobiological hydrogen production covers the period from its discovery in relatively pure cultures during the early 1930s to the present. The focus is hydrogen production by phototrophic organisms (and their components) which occurs at the expense of light energy and electron-donating substrates. The survey covers the major contributions in the area; however, in many cases, space has limited the degree of detail provided. Among the topics included is a brief historical overview of hydrogen metabolism in photosynthetic bacteria, eucaryotic algae, and cyanobacteria (blue-green algae). The primary enzyme systems, including hydrogenase and nitrogenase, are discussed along with the manner in which they are coupled to electron transport and the primary photochemistry of photosynthesis. A number of in vivo and in vitro photobiological hydrogen evolving schemes including photosynthetic bacterial, green algal, cyanobacterial, two-stage, and cell-free systems are examined in some detail. The remainder of the review discusses specific technical problem areas that currently limit the yield and duration of many of the systems and research that might lead to progress in these specific areas. The final section outlines, in broadest terms, future research directions necessary to develop practical photobiological hydrogen-producing systems. Both whole cell (near- to mid-term) and cell-free (long-term) systems should be emphasized. Photosynthetic bacteria currently show the most promise for near-term applied systems.

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SECTION 1.0

INTRODUCTION

The 1973 Arab oil embargo illustrated dramatically that energy is the most important resource maintaining our current standard of living. Unfortunately, reserves of the fossil fuels upon which we currently rely are being depleted rapidly, and sometime within the next 20 years or so, serious shortages may occur. Even now the decline in U.S. gas and oil production has placed severe strains on both our economy and currency.

Alternative energy sources are available but problems abound. Nuclear fission and fast-breeder reactors produce extremely toxic radioactive waste products which even now cannot be disposed of safely. In the long term, they too will be limited by diminishing reserves of uranium ore. A paucity of available sites and severe environmental conflicts limit installation of large new hydroelectric plants. Coal and oil shale reserves are quite extensive, but again environmental problems including mining practices, air pollution, and most recently fears concerning the release of vast amounts of CO₂ into the atmosphere limit the utility of these resources. Geothermal energy is quite attractive but is also limited to suitable areas. Nuclear fusion is probably the long-term answer at least for centralized power generation, but this alternative will probably require 50 years to develop and commercialize if, indeed, it is possible at all.

The celebration of "Sun Day" in May 1978 helped focus the attention of both the government and the public at large on another alternative, the potential of solar energy in the nation's energy future. There are presently six solar technology areas identified by the Department of Energy (DOE) as promising for substantial future contribution to our growing energy demands. These are solar heating and cooling, biomass, wind, photovoltaics (including solar-powered satellites), ocean thermal energy conversion (OTEC), and solar thermal electric.

Of these solar technologies, the biomass area stands out as the least understood in all its ramifications. In fact, biomass production and conversion are only part of a broader field termed "biological conversion" (Seibert, 1978). Unfortunately, many professionals in various solar and solar-related fields are still not aware that biological processes might be harnessed for conversion of solar energy into alternative forms by methods other than those leading to generation of biomass.

This does not mean that photosynthetic productivity is important only in agricultural applications and that biomass generation cannot be of considerable future importance as an energy resource. In fact, in George Wald's opinion (1978), "the greatest event on earth was the development of photosynthesis at the whole plant level". Photosynthesis is responsible for all fossil fuel reserves and produces about 3000 quads (1 quad = 10¹⁵ Btu) of stored energy in the form of biomass throughout the world every year; that is, about ten times the energy man consumes annually (Hall, 1978). Despite the enormity of the process, photosynthesis in the field is not very efficient about 0.1% worldwide but as high as 1.6% for certain crop species on an annual

basis (Hall, 1978). The primary processes of photosynthesis, on the other hand, are potentially much more efficient and consequently could be used as the basis for modified photosynthetic processes applicable in solar energy conversion (Seibert et al., 1978).

1.1. SCOPE OF REPORT

A promising alternative biological process for solar energy conversion has been coined "biophotolysis" by L. O. Krampitz. The term first appeared a number of years ago (NSF/NASA Solar Energy Panel, 1973) and was defined as the formation of H_2 gas from water using the photosynthetic apparatus of green plants and algae. Unfortunately, there is confusion as to the precise definition since photo-synthetic bacteria can also produce H_2 . However, photosynthetic bacteria do not use water as the primary reductant, and consequently, bacterial photoproduction of H_2 is not strictly included in Krampitz's definition of biophotolysis (though many investigators use the term for both processes).

Confusion from terminology aside, the purpose of this report is to review the field of photobiological H_2 production and to assess its potential for applied conversion systems. Included is a brief history of the field, the enzymology and biochemistry of H_2 production, H_2 evolution systems and rates, technical problems, and future prospects.

1.2 HYDROGEN AND THE U.S. ENERGY FUTURE

The successful production of H_2 on an economic basis, biological or otherwise, would have a great impact on the United States energy picture. In 1977 the United States used about 0.5 quad* of natural gas to produce both H_2 itself and chemicals such as ammonia and methanol which require H_2 for their synthesis (Burwell, 1978, C&E News Staff, 1978). This quantity represents about 2.5% of the total natural gas or 0.7% of the total energy used in the United States. Although the replacement of half a quad of natural gas with H_2 doesn't appear to be particularly important, it must be remembered that the availability of larger amounts could stimulate the realization of a H_2 economy as discussed by a number of people (Maugh 1972; Gregory, 1973; Bockris, 1975; Cox and Williamson, 1977; Gregory and Pangborn, 1976). Large quantities of inexpensive H_2 would expand its use from a chemical feedstock in industry to a fuel (H_2 is presently too expensive to be used as such). Conceivably, it could replace some or all of the U.S. requirement for natural gas (19 quads in 1977) and transportation fuels (20 quads in 1977).

The major advantage of using H_2 as a fuel is the improvement to the environment which would result. Hydrogen is a clean fuel, and its use would

*This number does not include H_2 produced in oil refineries for captive use. The total amount of H_2 used is probably around 0.7 quad (W. Escher, personal communication).

lead to decreased air pollution. No sulfur, CO_2 , or CO are produced and nitrogen oxides can be limited (Gregory and Pangborn, 1976). In addition, H_2 is recyclable. It would ultimately come from water and after combustion would be returned to the atmosphere in the form of water vapor. The major disadvantage of using H_2 as a fuel would be the cost of retrofitting pipeline, industrial, and transportation systems. However, this may not be a serious problem because oil and natural gas will not disappear overnight. As conventional fuels become more and more scarce, they would be replaced by H_2 on a gradual but continual basis. Thus, retrofitting would be spread over a period of years to coincide with normal replacement of equipment. This approach requires a large amount of planning; however, it is a subject not within the realm of this report. Technological aspects of H_2 -based energy applications have been reviewed recently by Gregory and Pangborn (1976).

1.3 OBSTACLES TO PHOTOBIOLOGICAL HYDROGEN PRODUCTION

The economic photobiological production of H_2 is a mid- to long-term goal (10-15 years). However, two major barriers are impeding progress, the first technical and the second economic. Although much is known about biological H_2 production, a great deal more basic and applied research is necessary before it will be possible to select proper systems for application on any practical scale. A more complete understanding of the primary processes of photosynthesis and the associated dark electron transport reactions is necessary. Both structural and functional aspects must be examined. A clearer understanding of the biochemistry and enzymology of hydrogen production is paramount. Through these avenues, knowledge of photobiological mechanisms might lead to the development of chemical analogues. This will, in addition, necessitate much more work on the isolation, characterization, and stabilization of functional biological components. Finally, methods must be developed to couple biological components to complementary in vivo or in vitro biochemical and, perhaps, electrochemical systems so that maximal efficiencies can be realized.

The second barrier is financial. Currently there is no single funding agency supporting photobiological energy conversion. In addition, it is difficult to identify even a million dollars being spent by the various divisions within NSF and DOE on photobiological H_2 production. Past support in this area has been at best fragmented, discontinuous, decentralized, and undirected. Fortunately, there is hope. DOE has just announced the formation of a Biological Sciences Division within the Office of Energy Research and SERI has responsibility for program management of photobiological H_2 research.

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SECTION 2.0

HISTORICAL OVERVIEW OF HYDROGEN METABOLISM IN PHOTOTROPHIC ORGANISMS

Hydrogen metabolism in biological systems can be described generally by the following equation:



The equilibrium of this reaction depends upon both the nature of the enzyme and the imposed conditions under which the enzyme functions. Of primary interest, of course, is the photobiological production of H_2 [to the right in Equation (1)]. However, all chemical reactions are in principle reversible. Thus, H_2 metabolism is discussed as it occurs in either or both directions. Where applicable, comments are made concerning the feasibility of shifting the reaction towards the direction of H_2 production.

2.1 PHOTOSYNTHETIC BACTERIA

While the ability to evolve or take up molecular H_2 is not a common phenomenon in the biosphere, it is prevalent among photosynthetic micro-organisms. One of the first documented observations of H_2 metabolism was made by Roelofsen (1934) who reported that a purple sulfur bacterium (now known as Chromatium vinosum strain D) could utilize H_2 as an electron donor for CO_2 fixation during heterotrophic growth in the light. Photosynthetic bacteria were already known to utilize reduced organic or sulfur compounds as electron donors. Roelofsen's work added H_2 to the list of donors and thereby implied the presence of a H_2 -metabolizing enzyme (hydrogenase). It also played an integral part in Van Niel's (1941) formulation of the unitary hypothesis of photosynthesis.

Ormerod and Gest (1962) demonstrated that Rhodospirillum rubrum could grow as a strict photoautotroph, albeit slowly, with H_2 as the sole electron donor. In a comparative survey Klemme (1968) reported that isolates of Rhodopseudomonas capsulata exhibited the fastest growth rates under these stringent conditions. Since these initial observations, representative strains from nearly all genera and species of photosynthetic bacteria have been found capable of photoautotrophic growth using H_2 , though in certain cases trace amounts of specific vitamins or reduced sulfur compounds may be necessary (Pfenning and Truper, 1974).

The initial observation of H_2 evolution by photosynthetic bacteria was made by Nakamura (1937). Chromatium species were found to decompose formate into H_2 and CO_2 in the dark, but not in the light. Dark production of H_2 from formate was also noted in R. rubrum (Gest and Kamen, 1949a). These observations were not pursued further. Instead, the major focus was directed towards light-driven production of H_2 which occurs under particular environmental conditions. When R. rubrum was grown photosynthetically on a medium containing certain amino acids as the sole N source, copious production of H_2 was noted (Gest and Kamen, 1949a and 1949b). In the presence of N_2 gas or ammonium ion photoevolution of H_2 ceased. This relationship led to the

discovery that R. rubrum can fix atmospheric dinitrogen via an inducible enzyme system, nitrogenase (Kamen and Gest, 1949). This capacity was later demonstrated in representative members of the other families of photosynthetic bacteria (Lindstrom et al., 1951; Evans and Smith, 1971).

The relationship between H_2 evolution and the presence of nitrogenase was, however, not understood. Gest et al. (1956) suggested that a pool of low oxidation-reduction (redox) potential electrons was generated from the splitting of water molecules. (At the time it was thought that all photosynthetic organisms split water.) These electrons could then be used for the reduction of N_2 or, in its absence, transferred to hydrogenase for proton reduction and release of H_2 gas. Bregoff and Kamen (1952) suggested an alternative mechanism in which H_2 was released through reactions of intermediary metabolism and subsequently resorbed, but only when N_2 or ammonium ions were present. Losada et al. (1961) and Arnon et al. (1961) subsequently proposed an electron flow theory which at the time received widespread acceptance. Working with Chromatium, they noted that thiosulfate, in the absence of carbonaceous substrates, could support either N_2 fixation or H_2 photoevolution. In their model, reduced substrates donate electrons into an electron transport pathway coupled to specialized molecules of bacteriochlorophyll (BChl). Excitation by light was thought to energize electrons to a level sufficient to reduce N_2 or evolve H_2 . Current ideas about this electron flow theory are discussed later.

The photoevolution of H_2 in photosynthetic bacteria is now known to be a function of nitrogenase rather than hydrogenase. In the absence of N_2 purified preparations of nitrogenase evolve H_2 when ATP (adenosine triphosphate) and dithionite are present (Bulen et al., 1965; Burns and Bulen, 1966). Conventional hydrogenases evolve H_2 under these conditions as well but are ATP-independent and unaffected by N_2 . In addition, hydrogenases are inhibited by CO whereas H_2 evolution from nitrogenases or intact photosynthetic bacteria is not (Winter and Burris, 1968; Winter and Arnon, 1970). Furthermore, Rps. capsulata mutants defective in nitrogenase activity do not evolve H_2 though no effect is noted in their hydrogenase activity. Revertant or genetic transferent strains regain N_2 fixation and H_2 photoevolution activities concomitantly (Wall et al., 1975a).

2.2 GREEN ALGAE

As early as 1940 Gaffron observed that the green alga Scenedesmus undergoes an "adaptive" process when incubated anaerobically in the presence of H_2 for several hours in the dark. Adapted cells take up H_2 when CO_2 is present. Similar observations were reported for other species of green, red, and brown algae (Frenkel and Rieger, 1951). If the CO_2 is replaced by a fermentable substrate such as glucose, the cells evolve H_2 (Gaffron and Rubin, 1942). Both H_2 uptake and evolution are enhanced by light. Nevertheless, if the light intensity is increased beyond even moderate levels (>500 lux), activity ceases and normal oxygenic photosynthesis resumes. Photoevolution of H_2 is distinguishable from dark fermentative H_2 metabolism from the action of dinitrophenol, which completely inhibits dark H_2 production though it stimulates H_2 photoevolution (Gaffron and Rubin, 1942; Gaffron, 1944).

Kessler (1974) and Bishop et al. (1977) have recently identified numerous species of algae, mostly in the Chlorophyceae class, which also photoevolve H_2 under anaerobic conditions.

Apparently hydrogenase alone mediates H_2 metabolism in these organisms since they have never been shown to fix N_2 , and their H_2 exchange reactions are CO-sensitive, as are those of other hydrogenases (Gaffron, 1942).

2.3 CYANOBACTERIA

Certain isolates of cyanobacteria (or blue-green algae as they were formerly designated) also exhibit H_2 exchange reactions. Frenkel and Rieger (1951) noted that some strains, though not all, take up H_2 in a manner similar to that observed in algae. However, Benemann and Weare (1974) demonstrated recently that Anabaena cylindrica can also undergo a CO-insensitive photo-production of H_2 in the absence of N_2 . It is quite probable that this latter reaction is mediated by nitrogenase, which has long been known in certain species of this group, particularly those of the Anabaena genus (Drewes, 1928; De, 1939; Fogg, 1942; Burris et al., 1943).

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SECTION 3.0

KEY ENZYME AND BIOCHEMICAL SYSTEMS

Before discussing the current status of photobiological H_2 production, it is necessary to examine the enzymes that catalyze H_2 metabolism, the photosynthetic apparatus that supplies the raw material (reductants and in some cases adenosine triphosphate) for H_2 evolution, and the manner in which these two components are coupled in a functional system.

3.1 PRIMARY ENZYME SYSTEMS

Two general classes of enzymes, hydrogenase (iron-sulfur proteins) and nitrogenase (protein complexes containing iron, sulfur, and molybdenum), are closely associated with the final H_2 evolving act in photosynthetic bacteria, green algae, and cyanobacteria. However, the primary functions of the enzymes are quite different. Nitrogenase normally functions in biological nitrogen fixation whereas hydrogenase (a holdover from the time when organisms were exposed to a reducing primordial atmosphere) catalyzes hydrogen uptake or consuming reactions. However, under certain conditions both can catalyze H_2 photoproduction.

3.1.1 Hydrogenase

Hydrogenases encompass a number of different proteins whose function in vivo can be summarized by the reaction sequence indicated by Equation (1). If we further stipulate that H_2 must be the main and natural product or substrate of the enzyme activity, then nitrogenases are excluded since they produce H_2 only in the absence of N_2 . (It is difficult to envisage a natural environment where N_2 would not be present in sufficient concentrations to inhibit hydrogen evolution.)

3.1.1.1 Assays

Hydrogenase is usually detected by observing H_2 evolution or uptake using either manometric or amperometric techniques. In these instances the H_2 exchange is often coupled to physiological substrates (Section 3.1.1.3); to nonphysiological electron acceptors such as oxidized methylene blue (Gest, 1952); or to electron donors such as dithionite plus reduced methyl viologen (Peck and Gest, 1957b). Colorimetric quantitations can be made on redox dye-coupled systems as well. Finally, isotopic exchange assays using tritium (Gingras et al., 1963; Anand and Krasna, 1965) have been employed. This method has the advantage that the kinetic data obtained are independent of the slow electron transport reactions in coupled assays. For example, H_2 activation, which this method assays, is probably not the rate-limiting step in the consumption of H_2 coupled to CO_2 fixation.

The amperometric technique for measuring hydrogen exchange developed by Wang et al. (1971) has the advantages of speed and sensitivity. Similarly, simultaneous measurements of H_2 and O_2 exchanges are possible using the apparatus described by Jones and Bishop (1976). However, these electrode

systems are not appropriate when gases such as H_2S or CO are present (they interfere with the electrode) or for long-term experiments (the concentrations of dissolved gases in the medium reach equilibria).

Since nitrogenase is also capable of evolving H_2 , assays monitoring the release of H_2 must exclude any contribution from nitrogenase. Hydrogenase activity is strongly inhibited by CO (Hoberman and Rittenberg, 1943; Peck *et al.*, 1956) whereas H_2 evolution via nitrogenase is not (Winter and Burris, 1968). Thus, CO is a means for discriminating between the two (except in amperometric assays since CO is an interfering gas). There are other tests to distinguish between hydrogenase and nitrogenase activity (such as the ATP requirement of the latter), but in practice they are more difficult to use.

3.1.1.2 Distribution

A large number of organisms have been assessed for hydrogenase activity (which is found much more frequently than nitrogenase activity). Representative examples are given in Table 3-1. Nonphotosynthetic organisms are also included since their hydrogenases may prove to be suitable for the *in vitro* photoconversion systems described in Section 5.2.1. For a more complete listing see Gray and Gest (1965), Mortenson and Chen (1974), Kessler (1974), and the individual bacterial citations in Buchanan and Gibbons (1974).

Hydrogenases have been detected in a diverse group of procaryotes, including obligate and facultative anaerobes, as well as nitrogen fixing and hydrogen oxidizing bacteria. Nearly all photosynthetic bacteria have hydrogenases as do approximately 50% of the algal strains tested (Kessler, 1974). Hydrogenases have also been reported in a protozoan (Edwards and Mathison, 1970), an animal (Kurata, 1962), and several higher plants (Renwick *et al.*, 1964; Efimstev *et al.*, 1975). A note of caution is in order here. Extreme care must be exercised in ascribing a function to an organism that is not free from symbioses, parasitisms, or commensalisms with other organisms. As a pertinent example, Newton (1976) has shown that the water fern *Azolla* exhibits both H_2 evolution and uptake activities. However, the "organism" also contains a cyanobacterial symbiont, which is probably responsible for hydrogenase activity (as well as unidentified bacteria). Other efforts to detect hydrogenase in the chloroplasts of higher plants have been unsuccessful.

3.1.1.3 Physiological Types

A number of different types of hydrogenases have been detected and categorized (Gray and Gest, 1965). Most of the strictly anaerobic bacteria which evolve H_2 (e.g., *Clostridium*) contain a soluble, highly oxygen-labile reversible hydrogenase (Peck and Gest, 1957a). (A "reversible" hydrogenase functions both in the uptake and evolution direction *in vivo*.) In these types of organisms the oxidative decarboxylation of pyruvate reduces an iron-sulfur carrier, ferredoxin (Mortenson *et al.*, 1962 and 1963), and the reduced ferredoxin in turn reduces hydrogenase (Valentine *et al.*, 1963; Whiteley and McCormick, 1963; Nakos and Mortenson, 1971). The hydrogenase functions as an electron disposal mechanism for reductants generated during fermentation (Gray and Gest, 1965). A similar function has been ascribed to the particulate hydrogenase that is part of the "formate hydrogenlyase" enzyme complex found in facultatively anaerobic bacteria such as coliforms (Peck and Gest, 1957b).

Table 3-1. REPRESENTATIVE ORGANISMS EXHIBITING HYDROGENASE ACTIVITY

ORGANISM	REFERENCE
Photosynthetic Bacteria	
<u>Rhodospirillum rubrum</u>	Omerod and Gest, 1962
<u>Rhodopseudomonas capsulata</u>	Klemme, 1968
<u>Rhodomicrobium vannielii</u>	Hoare and Hoare, 1969
<u>Chromatium vinosum</u>	Roelofsen, 1934
<u>Thiocapsa roseopersicina</u>	Gogotov <u>et al.</u> , 1976
<u>Chlorobium thiosulfatophilum</u>	Pfennig and Trüper, 1974
Cyanobacteria	
<u>Anabaena cylindrica</u>	Hattori, 1963
<u>Synechococcus elongatus</u>	Frenkel <u>et al.</u> , 1950
<u>Synechocystis</u> sp.	Frenkel <u>et al.</u> , 1950
<u>Nostoc muscorum</u>	Ward, 1970
Euglenoid	
<u>Euglena gracilis</u>	Hartman and Krasna, 1963
Green Algae	
<u>Chlamydomonas reinhardtii</u>	Hartman and Krasna, 1963
<u>Chlorella fusca</u>	Kessler, 1974
<u>Scenedesmus obliquus</u>	Gaffron and Rubin, 1942
<u>Ulva lactuca</u>	Frenkel and Rieger, 1951
Red Algae	
<u>Porphyra umbilicalis</u>	Frenkel and Rieger, 1951
<u>Porphyridium cruentum</u>	Frenkel and Rieger, 1951
Brown Algae	
<u>Ascophyllum nodosum</u>	Frenkel and Rieger, 1951
Nonphotosynthetic Bacteria	
<u>Escherichia coli</u>	Stephenson and Stickland, 1931
<u>Klebsiella pneumoniae</u>	Ordal and Halvorson, 1939
<u>Alcaligenes eutrophus</u>	Repaske, 1962
<u>Desulfovibrio vulgaris</u>	Yagi <u>et al.</u> , 1968
<u>Clostridium pasteurianum</u>	Peck and Gest, 1954
<u>Methanobacterium</u> sp.	Bryant <u>et al.</u> , 1967
<u>Rhizobium leguminosarum</u>	Dixon, 1967
<u>Azotobacter vinelandii</u>	Hyndman <u>et al.</u> , 1953

Since the H_2 molecule contains a considerable amount of chemical energy, a variety of microbes have developed "uptake" hydrogenases which function primarily, if not exclusively, in the direction of H_2 oxidation. Two distinct hydrogenases, one soluble and one particulate, function in the oxy-hydrogen metabolism of the chemolithotrophic bacterium, Alcaligenes eutrophus (Wittenberger and Repaske, 1961; Schneider and Schlegel, 1977). The soluble enzyme catalyzes the direct reduction of NAD^+ , while the particulate form uses an as yet unknown high redox potential electron acceptor. Hydrogen consumption can also be the primary source of energy for the anaerobic production of methane (e.g., in Methanobacterium), the anaerobic respiration of nitrate (e.g., in Micrococcus denitrificans), or the anaerobic respiration of sulfate (e.g., in Desulfovibrio). The last example has been studied in some detail, and both soluble and particulate hydrogenases coexist in Desulfovibrio vulgaris (Yagi et al., 1968 and 1976). Both forms are specific for cytochrome c_3 which has a relatively high (relative to hydrogenase) redox potential (-205 mV) and serves as its electron acceptor (Postgate, 1961).

More in keeping with the topic of this review, however, are the hydrogenases associated with photosynthetic organisms. Those found in photosynthetic bacteria function primarily in the uptake direction. However, there are exceptional conditions under which H_2 evolution may occur as a result of reversible hydrogenase activity. The dark metabolism of Chromatium (Nakamura, 1937) and R. rubrum (Gest and Kamen, 1949a), where H_2 production is coupled to formate oxidation, is an example. Also, the dismutation of CO engenders H_2 evolution in Rps. gelatinosa (Uffen, 1976). Both processes appear to be electron disposal mechanisms. Nevertheless, additional data must be accumulated in order to determine the exact physiological significance of these observations.

The most obvious manifestation of hydrogenase function in photosynthetic bacteria is autotrophic growth. The ability to fix CO_2 in the light with H_2 as the sole electron donor has been well established (Ormerod and Gest, 1962; Pfennig, 1967; Klemme, 1968). In addition, a few rhodospseudomonad strains can also couple H_2 oxidation to CO_2 fixation and aerobic growth in the dark (Weaver, unpublished).

Unlike photosynthetic bacteria, cyanobacteria and algae apparently cannot use H_2 as an electron donor for autotrophic growth (Nuhrenberg et al., 1968). However, their hydrogenases are functional in several metabolic pathways. This topic has been reviewed by Bishop (1966) and Kessler (1974).

In those strains containing inducible hydrogenase activity, H_2 oxidation can be coupled to CO_2 reduction (but not growth) under low light conditions (Gaffron, 1940; Frenkel and Rieger, 1951). Hydrogenase activity is inhibited by high light intensities presumably because the high levels of O_2 evolved under these conditions inhibit the enzyme. Hydrogen-coupled reduction of $NAD(P)^+$ (Abeles, 1964), nitrite (Kessler, 1957; Stiller, 1966), and sulfite (Kessler and Maifarth, 1960) has also been observed. Kessler (1974) and Kok (1974) suggested that algae containing hydrogenase have an additional survival advantage over those that do not in that they are better suited to recover from periods of extreme anaerobiosis during which the cells can become overreduced. Excess reducing power can be "bled off" via hydrogenase, thereby

regenerating the oxidized acceptors necessary for photosynthetic electron transport. This function is the basis for hydrogenase-coupled H_2 evolution in these organisms. Algae lacking hydrogenase do not evolve H_2 and are comparatively slow in resuming normal photosynthesis after being subjected to anaerobiosis.

Another function for hydrogenase has been proposed in the N_2 -fixing strains of photosynthetic bacteria and cyanobacteria. As previously mentioned, H_2 evolution occurs inherently during normal activity of the nitrogenase enzyme complex (Schubert and Evans, 1976). The invariable presence of uptake hydrogenase activity in N_2 -fixing organisms led Dixon (1972), to propose that the function of the hydrogenase was to act as an "afterburner" to reclaim some of the lost energy by reoxidizing the evolved H_2 . This model has received some experimental verification from studies on Anabaena (Bothe et al., 1977) and Rps. capsulata (Kelley et al., 1977; Meyer et al., 1978a). It also has been noted that Anabaena cells deprived of reductant use H_2 as an electron donor for N_2 fixation (Benemann and Weare, 1974).

3.1.1.4 Purification and Properties

Considerable confusion has arisen concerning the solubility and biochemical properties of certain hydrogenases. For example, Chromatium hydrogenase, as assayed by coupled NAD^+ (nicotinamide adenine dinucleotide) reduction, has been characterized as either fully soluble (Weaver et al., 1965), soluble but requiring a membrane-bound cofactor (Buchanan and Bachofen, 1968), or entirely membrane-bound (Feigenblum and Krasna, 1970). Thiocapsa roseopersicina cultures yield both soluble (Gogotov et al., 1976) and membrane-bound (Serebryakova et al., 1977) enzymes. Anabaena also contains both soluble (Fujita et al., 1964) and membrane-bound (Fujita and Myers, 1965) hydrogenases. Chlamydomonas (Abeles, 1964), Chlorella (Lee and Stiller, 1967), and Scenedesmus (Ward, 1970) hydrogenases are exclusively membrane-bound and reportedly result from the action of multiple isozymic forms (Ward, 1970). R. rubrum and Rps. sphaeroides hydrogenase activities, along with those from several nonphotosynthetic sources, have also been described as resulting from the cumulative action of different isozymes (Ackrell et al., 1966). With the exception of A. eutrophus (Schneider and Schlegel, 1977), though, the multiple forms of hydrogenase within a given cell have not been conclusively shown to be physiologically different. However, all these results should be reexamined in light of the observation that hydrogenase from Clostridium pasteurianum readily forms strong complexes with other cellular proteins (Nakos and Mortenson, 1971). Perhaps the binding of hydrogenase to other soluble or membranous components during isolation may explain the discrepancies reported in solubilities, isozymes, and molecular weights (see below). In any case, the difficulties or benefits that this strong inter-molecular binding would create in applied cell-free photoconversion systems are not possible to assess at this time.

Steroids, detergents, and chaotropes have been used successfully to solubilize membrane-bound hydrogenases. After solubilization they are purified by standard techniques (Mortenson and Chen, 1974). Purified hydrogenases have been prepared from photosynthetic bacteria including Chromatium (Gitlitz and Krasna, 1975; Kakuno et al., 1977), T. roseopersicina (Gogotov et al., 1976; Serebryakova et al., 1976), and R. rubrum (Adams and Hall, 1977). All are

relatively resistant to heat denaturation, a property which has proven useful in the purification procedures. Compared to hydrogenases from other sources, the Chromatium and T. roseopersicina enzymes are much more stable in air. The first crystalline preparation of hydrogenase has been achieved by T. Horio and colleagues (personal communication) from R. rubrum. They also suggest that the protein from this source is stable in 1.0 M NaCl in air for periods of years. Methods have been devised to stabilize further various hydrogenases in the presence of O₂ (Section 6.1 and 6.3.1.).

Hydrogenases, as mentioned before, are iron-sulfur proteins similar to bacterial ferredoxins (Carter et al., 1972). They contain approximately four gram-atoms of both iron and inorganic sulfide per mole of enzyme or multiples thereof. Enzymes isolated from C. pasteurianum (Nakos and Mortenson, 1971), D. vulgaris (Yagi, 1970), Chromatium (Gitlitz and Krasna, 1975), and T. roseopersicina (Gogotov et al., 1976) have been characterized in detail. EPR spectra normally show a $g = 1.94$ signal (characteristic of an iron-sulfur cluster) in C. pasteurianum and other hydrogenases (Mortenson and Chen, 1974), though Gitlitz and Krasna (1975) did not observe it for Chromatium hydrogenase.

Purified hydrogenases from the above sources appear to have a molecular weight of about 60,000 daltons and are comprised of two subunits of equal size (Yagi, 1970; Nakos and Mortenson, 1971; Le Gall et al., 1971). Similar molecular weights have been estimated for hydrogenases from T. roseopersicina (Gogotov, 1978) and R. rubrum (Adams and Hall, 1977). On the other hand, Gitlitz and Krasna (1975) have reported that Chromatium hydrogenase has a molecular weight of 98,000 daltons whereas Kakuno et al. (1977) maintain that it is only 70,000 daltons. (A possible explanation for this discrepancy was discussed earlier.)

Several compounds appear to inhibit hydrogenase activity though most of these data were derived from unpurified enzyme preparations. Both CO and O₂, as previously mentioned, are inhibitory in most systems (Hoberman and Rittenberg, 1943). Cyanide is also inhibitory but apparently only when the enzyme is first aerated (Green and Wilson, 1953). In addition, nitric oxide (Krasna and Rittenberg, 1954), sulfhydryl reagents (Hartman and Krasna, 1964), and acetylene (Smith et al., 1976) suppress hydrogenase activity to various extents.

3.1.2 Nitrogenase

The availability of combined nitrogenous compounds (e.g., ammonia, nitrate, and protein) is essential for biosynthetic reactions and cell growth. Most life forms must be fertilized or fed with these compounds. However, a few types of organisms--all procaryotes (bacteria and cyanobacteria)--are able to reduce ("fix") atmospheric N₂ to the level of ammonia and are thus an essential link in the biosphere's nitrogen cycle. The process is carried out by a repressible enzyme complex, called nitrogenase, which functions at the most reducing end of the redox potential scale in biological systems. While the presence of the enzyme creates unique capacities for the host, it also poses problems for the cell as well as for the investigators seeking to understand the processes it catalyzes.

Of prime importance to this review is the fact that nitrogenase in the absence of its physiological substrate or product (N_2 or NH_4^+ , respectively) reduces protons and thereby evolves H_2 . In fact, it was the observation of H_2 photoproduction in a culture of R. rubrum that led Kamen and Gest (1949) to discover that photosynthetic bacteria can fix N_2 . This section describes briefly the distribution and properties of nitrogenase and indicates the feasibility of employing the enzyme in radiant energy conversion systems. Numerous comprehensive reviews on nitrogenase have recently appeared in the literature (Dalton and Mortenson, 1972; San Pietro, 1972; Streicher and Valentine, 1973; Burris and Orme-Johnson, 1974; Quispel, 1974; Winter and Burris, 1976; Meyer et al., 1978; Burris et al., 1978).

3.1.2.1 Assays

A culture that increases in cell mass for long periods of time in the absence of combined nitrogen (but in the presense of N_2 gas) probably contains nitrogenase, especially if concomitant increases in cellular nitrogen are observed. However, care must be taken to ensure that the organism is in pure culture before N_2 fixation capacities are assigned. In complex symbiotic systems such as those found in the root nodules of legumes, though, this is not always feasible in practice. Fortunately, more direct assays for nitrogenase activity have been perfected. Burris (1972) has described many of these techniques which are applicable to both whole cell and cell-free systems.

Mass spectrometric analysis was the first rapid, definitive assay employed, and the technique involves following the incorporation of $^{15}N_2$ into cellular constituents. The isotope is a specific and sensitive tracer for nitrogenase activity. Dilworth (1966) subsequently recognized that nitrogenase can also catalyze the reduction of acetylene to ethylene, and this has provided the basis for a quick and simple assay. The ethylene evolved is detected by gas chromatography. The assay is now the method of choice for quantitatively measuring nitrogenase activity. Manometric or amperometric determinations of H_2 evolution can also be used as an assay for nitrogenase activity provided that contributions from hydrogenase are suppressed or otherwise corrected for.

Nitrogenase-mediated ammonia production can be measured by a modified Conway microdiffusion technique with Nessler's reagent (Bulen et al., 1965), but the commercial availability of ammonia-specific electrodes has recently supplanted this type of measurement. Nevertheless, accurate determinations of ammonia production from crude extracts are difficult or impossible if ammonia assimilatory enzymes are also present.

Regardless of the assay method used, nitrogenase activity in cell-free extracts is strictly dependent upon the presence of both a strong reductant, usually dithionite, and $Mg-ATP$ (Burns and Bulen, 1965). Thus, the H_2 evolution activity of the ATP-dependent, CO-insensitive nitrogenase can be readily discriminated from that of the ATP-independent, CO-sensitive hydrogenase.

3.1.2.2 Distribution

The capacity for N_2 fixation has been detected in isolates of uniquely distinct microorganisms. Table 3-2 lists representative strains of different genera and species exhibiting this property. More complete surveys, especially of the nonphotosynthetic bacteria, can be found elsewhere (Quispel 1974). No examples of N_2 fixation in eucaryotes have been discovered as yet. Early reports of growth of yeast and fungi in the presence of N_2 gas have not been confirmed when repeated using the $^{15}N_2$ or acetylene reduction assays (Postgate, 1971).

Nitrogenase is an extremely common, if not universal, enzyme in photosynthetic bacteria. Members of all species that have been examined are capable of growth using N_2 as sole nitrogen source (Pfennig and Truper, 1974). Presumably, though this has not been tested specifically in many cases, nearly all photosynthetic bacterial isolates also have the capacity to express nitrogenase activity, either as N_2 fixation or as H_2 evolution. Of 33 strains of *Rps. capsulata* examined, 30 exhibited good growth with N_2 as the sole nitrogen source (Weaver *et al.*, 1975), suggesting that the capacity is extremely widespread. Two of the three negative strains grew poorly even on medium containing combined nitrogen (indicating the necessity of healthy cells for demonstrating the energy-intensive process of N_2 fixation). The third was a laboratory strain which had been kept in pure culture for more than 40 years with no challenge to nitrogenase, raising the possibility of a mutant (lacking nitrogenase activity) takeover in the intervening years. Finally, a high positive correlation was noted between ability of all strains to photofix N_2 and their ability to photoevolve H_2 in the absence of N_2 . Thus, N_2 fixation and H_2 production at the expense of radiant energy probably occurs in nearly all strains of photosynthetic bacteria. Although N_2 fixation is usually thought to be an anaerobic, light-driven process in these anoxygenic bacteria, it has also been reported under conditions of moderate to low O_2 concentrations (Gest and Kamen 1949a; Siefert, 1976). Meyer *et al.* (1978b) could not confirm this, however.

Besides photosynthetic bacteria the other main group of photosynthetic N_2 -fixing organisms are the cyanobacteria. It is difficult to ascertain the ubiquity of nitrogenase in all isolates, since oxygenic photosynthesis in these microbes is inherently incompatible with the functioning of the extremely O_2 -labile nitrogenase enzymes. Certain filamentous cyanobacteria have apparently solved this problem by sequestering the bulk of their nitrogenase into specialized cells called heterocysts. No O_2 evolution occurs in these differentiated cells, and they appear to have an anaerobic interior (see Section 3.2.2). Low levels of N_2 fixation can be detected also in the associated vegetative cells but only when the O_2 concentration is kept low by sparging with N_2 or argon (Tel-Or and Packer, 1978). However, most cyanobacteria do not form heterocysts. Nevertheless, two strains of the unicellular *Gleothoece* have been isolated which are also capable of fixing N_2 in air (Wyatt and Silvey, 1969; Rippka *et al.*, 1971). Since their nitrogenase, like all others examined so far, is rapidly inactivated *in vitro* by oxygen (Gallon *et al.*, 1975) the *Gleothoece* cells must have some alternative mechanism for creating anaerobiosis *in vivo*. Stanier and Cohen-Bazire (1977) reported that of 122 strains of nonheterocystous cyanobacteria, excluding *Gleothoece* strains, 43 synthesized nitrogenase when incubated anaerobically in

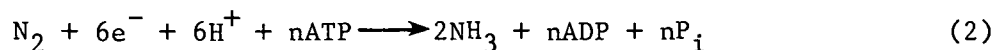
the absence of combined nitrogen. Activity was lost in all cases, however, when the cultures were exposed to air. The functionality of such O_2 -sensitive systems in natural environs, where even moderate light would raise the O_2 concentration to critical levels, has not been determined.

Table 3-2. REPRESENTATIVE ORGANISMS EXHIBITING NITROGENASE ACTIVITY

ORGANISM	REFERENCE
Photosynthetic Bacteria	
<u>Rhodospirillum rubrum</u>	Kamen and Gest, 1949
<u>Rhodopseudomonas capsulata</u>	Lindstrom <u>et al.</u> , 1951
<u>Rhodomicrobium vanniellii</u>	Lindstrom <u>et al.</u> , 1951
<u>Chromatium</u> sp.	Lindstrom <u>et al.</u> , 1950
<u>Thiocapsa roseopersicina</u>	Gogotov, 1978
<u>Chlorobium</u> sp.	Lindstrom <u>et al.</u> , 1950
Cyanobacteria	
<u>Anabaena cylindrica</u>	Fogg, 1942
<u>Nostoc muscorum</u>	Williams and Burris, 1952
<u>Gleotheca</u> sp.	Rippka <u>et al.</u> , 1971
<u>Plectonema boryanum</u>	Stewart and Lex, 1970
Nonphotosynthetic Bacteria	
<u>Klebsiella pneumoniae</u>	Penga and Wilson, 1958
<u>Clostridium pasteurianum</u>	Rosenblum and Wilson, 1949
<u>Rhizobium japonicum</u>	Hoch <u>et al.</u> , 1960
<u>Azotobacter vinelandii</u>	Burris <u>et al.</u> , 1943
<u>Desulfovibrio</u> sp.	Sisler and ZoBell, 1951

3.1.2.3 Physiology

The primary reaction catalyzed by nitrogenase is summarized as follows:



The enzyme complex is quite versatile and can also reduce a number of other substrates including nitrous oxide (Lockshin and Burris, 1965), acetylene, and azide (Schollhorn and Burris, 1966). The reduction products are N_2 , ethylene, and N_2 plus NH_3 , respectively. It also catalyzes the reduction of cyanide to methane plus NH_3 (Hardy and Knight, 1967), isocyanides and their derivatives to methane and alkylamines (Kelly *et al.*, 1967; Hardy *et al.*, 1971), and protons to H_2 . This last reaction is



The only difference between Equations (1) and (3) is the irreversibility of the latter and its additional requirement for energy in the form of ATP. The number of moles of ATP [n in Equation (2)] required to reduce a mole of N_2 has been estimated from a comparison of growth yields on N_2 and NH_3 , and ranges from 4 to 5 (Dalton and Postgate, 1969) to 29 (Hill, 1976). A median value is normally assumed. However, when the equivalent energy of the reductant and the energy needed to synthesize the proteins involved are included in the estimates, the requirement may rise to as high as 35 - 40 moles of ATP per mole of N_2 (Shanmugam *et al.*, 1978). Since H_2 production is a two-electron process [Equation (3)] it might be expected that the molar ATP requirement (m) would be one-third that for N_2 reduction. In fact it may be much less. The process of N_2 fixation involves an inherent release of H_2 that corresponds to a minimum of 25% (possibly 40-60%) of the electron flow through nitrogenase (Bulen *et al.*, 1965; Schubert and Evans, 1976). Thus, determinations of the ATP requirement for N_2 fixation include a considerable expenditure of ATP for wasteful H_2 evolution. However, it should be mentioned that most N_2 -fixing organisms contain an uptake hydrogenase, and it has been suggested (Dixon, 1972) that the hydrogenase recycles some of this expended energy through coupled H_2 oxidation (see Section 3.1.1.3).

3.1.2.4 Purification and Properties

In addition to its O_2 sensitivity, nitrogenase in some cases is also cold-sensitive (Burns and Bulen, 1965; Mortenson, 1972). These properties create complications in the purification procedures but Mortenson (1972) successfully used modified chromatographic techniques under strictly anaerobic conditions. Thereafter nitrogenases have been purified from *Klebsiella pneumoniae* (Eady *et al.*, 1972), *C. pasteurianum* (Tso, 1974), *Azotobacter vinelandii* (Shah and Brill, 1973), and *Azotobacter chroococcum* (Yates and Planque, 1975). In addition, partially purified preparations have been obtained from *Chromatium* (Evans *et al.*, 1973) and *Rhizobium japonicum* (Israel *et al.*, 1974).

Nitrogenase is an enzyme complex consisting of two proteins, one containing iron (the Fe protein) and the other containing both iron and molybdenum (the MoFe protein). Both are necessary for nitrogenase activity and both are iron-sulfur proteins with approximately equivalent amounts of iron and acid-labile sulfide (Burris *et al.*, 1978).

The MoFe proteins from all sources are O_2 -labile and have molecular weights of approximately 220,000 daltons. They are comprised of one or two types of 50,000 to 60,000 dalton subunits. Approximately two moles of molybdenum and 24 - 32 moles of iron and sulfide are found per mole of protein.

The Fe proteins are also O_2 -labile, completely inactivated after 1 - 3 min exposure to air, and, in the case of *C. pasteurianum*, cold labile as well. Molecular weights average about 60,000 daltons and the protein consists of two subunits of equal weight. The presence of four irons and four sulfides per protein molecule and a $g=1.94$ EPR signal suggest that the active site may be similar to that of bacterial ferredoxins; that is, of the Fe_4S_4 configuration.

Of the two proteins, only the Fe protein is reduced by dithionite, and it is also the site of Mg-ATP binding (Walker and Mortenson, 1974). The Mg-ATP-Fe protein complex has a redox midpoint potential below -400 mV which is sufficient to reduce the MoFe protein (Zumft *et al.*, 1974). Rivera-Ortiz and Burris (1975) suggested that all of the substrates for nitrogenase, including N_2 and H^+ , bind to the MoFe protein (though at different sites) where they compete for the Mg-ATP-reduced Fe protein complex.

Some gaseous compounds are inhibitors of nitrogenase. For example, nitrous oxide is a potent competitive inhibitor of N_2 fixation (Lockshin and Burris, 1965). Carbon monoxide inhibits all nitrogenase activity with triple-bonded substrates but does not affect nitrogenase mediated H_2 -evolution (Hwang *et al.*, 1973). Finally, H_2 itself is a competitive inhibitor, but only of N_2 fixation.

3.2 IN VIVO COUPLING TO PHOTOSYNTHETIC ELECTRON TRANSPORT

3.2.1 Photosynthetic Bacteria

This section discusses the mechanisms whereby light energy captured by the bacterial photosynthetic apparatus are used to drive the process of H_2 evolution in vivo. The coupling to uptake hydrogenase is also addressed to determine the feasibility of reversing the reaction under appropriate conditions.

As mentioned, H_2 photoproduction in photosynthetic bacteria is mainly associated with nitrogenase rather than hydrogenase activity. Nitrogenase activity in *C. pasteurianum* (a nonphotosynthetic organism) is dependent upon the availability of reduced ferredoxin (or flavodoxin) generated from the phosphoroclastic reaction (Mortenson *et al.*, 1963; Knight and Hardy, 1966). Winter and Arnon (1970) and Yoch and Arnon (1970) subsequently demonstrated that ferredoxin (reduced by illuminated chloroplasts in a hybrid system) can donate electrons to *Chromatium* nitrogenase. Likewise, two separate ferredoxins from *R. rubrum* (also reduced by illuminated chloroplasts) are known to reduce *R. rubrum* nitrogenase (Yoch and Arnon, 1975).

Thus, the important question at this point is how photosynthetic bacteria generate reductants at the reduced ferredoxin level to carry out nitrogenase-coupled H_2 evolution. The possibilities are threefold: ferredoxin is reduced directly by a light-driven reaction; indirectly by ATP-driven reversed electron transport; or directly by dehydrogenation or oxidative decarboxyla-

tion reactions of intermediary metabolism not involving electron transport chains. These possibilities are discussed further (Section 3.2.1.2).

3.2.1.1 Electron Transport

Photosynthetic bacteria, in contrast to cyanobacteria, algae, and higher plants (see Section 3.2.2), are thought to contain only one type of cytochrome-associated photoreactive pigment complex (Parson and Case, 1970; Seibert and DeVault, 1970; Seibert and DeVault, 1971) normally referred to as the reaction center or P870. The function of the reaction center is to convert light energy absorbed by accessory pigments (bacteriochlorophyll and carotenoids) into chemical potential in the form of a charge separation across the reaction center complex. In the natural membrane system, charge separation drives a cyclic electron transport pathway that is coupled to photophosphorylation (Frenkel, 1954 and 1956) or the generation of pyrophosphate (Baltscheffsky *et al.*, 1966). These high energy compounds are used to fix carbon and supply the energy required for the various metabolic processes ensuring the survival of the organism. Hydrogen ions and electrons are supplied from organic or inorganic sources in the environment because the organisms cannot utilize water as an electron donor (see Section 3.2.2).

During the past five years, understanding of the primary photochemical processes associated with photosynthesis and the bacterial reaction center has benefited greatly from direct kinetic observations in the picosecond (10^{-12} sec) time domain (Seibert, 1978). In fact, the picosecond studies of Kaufmann *et al.* (1975), Rockley *et al.* (1975), and Dutton *et al.* (1975) along with the more conventional studies of numerous other groups have led to the model in Figure 3-1 which explains how light is used to generate the primary charge separation (brackets in Figure 3-1) and subsequently to reduce the primary acceptor, X. The reduced primary acceptor drives cyclic electron transport. Recent reviews by Seibert (1978) and Holton and Windsor (1978) summarize the sequence of events in Rhodospirillum rubrum R-26 reaction center preparations as follows: Light is absorbed by a BChl dimer in step (a) leading to the very rapid formation of an excited single state. Excitation transfer from the antenna pigments to drive this step may be rate limiting in Rps. sphaeroides chromatophores though, because the fluorescent lifetime of the antenna pigments is 200 psec in the 1760-I strain (Paschenko *et al.*, 1977) and 300 psec in the R-26 strain (Campillo *et al.*, 1977). The radical cation BChl dimer is formed in step (b) when an electron from the dimer reduces the long wavelength bacteriopheophytin (BPh) molecule. In step (c) X is reduced in an electron transfer reaction from the BPh radical anion. Further electron transfer involving cytochromes and secondary acceptors including X occurs after this step in the intact organism. The dotted lines (1) and (2) represent possible reverse reactions (Dutton *et al.*, 1975; Prince *et al.*, 1976) since electron transfer beyond step (c) is not possible in reaction center preparations. Present evidence strongly favors reaction (1), especially at low temperature (Dutton *et al.*, 1977). Step (d) can occur at room temperature but is kinetically much less favorable than (c). However, under conditions when X is reduced prior to a flash, step (d) can be observed spectrally (Parson *et al.*, 1975) although with a low quantum efficiency. This pathway presumably involves the BChl dimer as a biradical or spin-polarized triplet state $[BChl^+ \cdots BChl^-]$ and is responsible for the ESR triplet signal observed at low temperature (Dutton *et al.*, 1972).

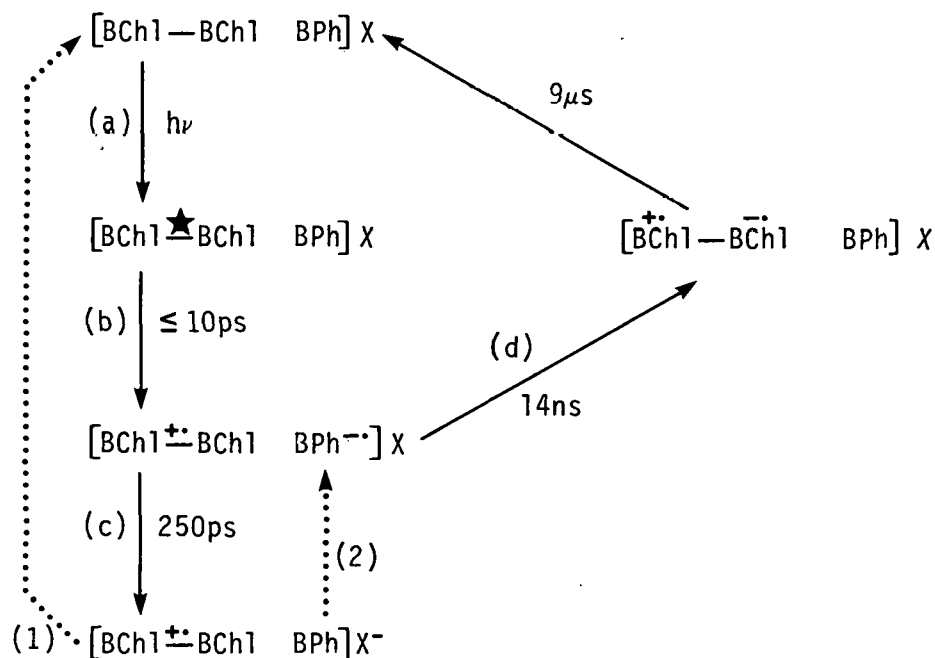


FIGURE 3-1. PRIMARY PHOTOCHEMISTRY IN BACTERIAL PHOTOSYNTHESIS.

The working scheme outlines the room-temperature picosecond time-scale reactions leading to the reduction of X (the classical primary acceptor). The rate at which each step occurs is expressed in terms of its 1/e decay time. Step (d) occurs when X is reduced prior to a flash, since step (c) cannot take place. Steps (1) and (2) are possible back reaction pathways. The identification of BPh (bacteriopheophytin) in this figure is tentative since I may involve an additional species. See the text for more details. This figure was modified from Figure 4 in Dutton et al. (1975) and incorporates data taken from Cogdell et al. (1975). BChl is the symbol for bacteriochlorophyll.

3.2.1.2 Coupling to Hydrogen Evolution

The primary acceptor X in Rps. sphaeroides reaction center preparations appears to be an iron-quinone complex with a midpoint potential of about -180 mV (Feher and Okamura, 1978). Similar potentials have been determined for X in most other photosynthetic bacteria examined (Parson *et al.*, 1975; Dutton, *et al.*, 1978). Unfortunately, these potentials are too positive to reduce efficiently either ferredoxin ($E_{m,7} = -420$ mV) or NAD(P)^+ ($E_{m,7} = -320$ mV) which might be expected to act as intermediates in hydrogen metabolism.

This raises the question of whether photosynthetic bacteria carry out noncyclic electron transport at all (see reviews by Vernon, 1968; Hind and Olson, 1969; Frenkel, 1970; Gest, 1972; Grumet-Elhaman, 1977). The concept of noncyclic electron flow, of course, is based on photosystem I in oxygenic organisms (see Section 3.2.2) where the primary acceptor is known to reduce sequentially both ferredoxin and pyridine nucleotides. As a consequence, the source of reductant for H_2 evolution remains largely obscure in photosynthetic bacteria. Biochemical studies have not been profitable since H_2 evolution and N_2 reduction do not occur in cell-free extracts without the addition of nonphysiological reductants. In fact, the mere loss of morphological integrity that occurs during spheroplast formation is sufficient to destroy the coupled light-driven reduction of N_2 (Karunairatnam *et al.*, 1958). This demonstrated lability--even within intact cells--may be important in interpreting data obtained from cell-free extracts.

Nevertheless, there is evidence for direct electron transport in the green sulfur bacterium Chlorobium. Evans *et al.* (1966) described a reductive carboxylic acid cycle for chlorobial CO_2 fixation in which reduced ferredoxin had an essential role. In the presence of ATP, ferredoxin was not reduced in the dark but was in the light, presumably by direct photoreduction (Buchanan and Evans, 1965 and 1969). Furthermore, the primary acceptor in this species has a redox midpoint potential lower than -450 mV (Prince and Olson, 1976), a value sufficient to reduce ferredoxin directly. However, whether photosynthetic bacteria other than Chlorobium can also generate strong photoreductants directly remains a moot point.

At several times in the past, various authors have suggested the presence of a second photosystem in photosynthetic bacteria which might generate carriers at a potential low enough to drive H_2 evolution. Although the existence of such a system has not been eliminated and the work of Cusanovich *et al.* (1968) with Chromatium and Okayama *et al.* (1970) with R. rubrum indicate the presence of light reactions operative below -100 mV, there is at present no definite evidence substantiating such a second photoact.

In the absence of conclusive data supporting the existence of the noncyclic electron transport pathway in most bacteria, a number of investigators have suggested that the generation of low potential reductants is not the direct product of a photoact. Rather, it occurs by an ATP-driven reversal of electron flow from substrate-reduced cytochromes (Gest, 1966; Keister and Yike, 1967; Jones and Vernon, 1969), similar to that seen in mitochondria (Chance and Hollunger, 1963). The models proposed for such a scheme involve a single reaction center pigment with a cyclic electron transport pathway generating ATP as the sole form of conserved energy (see Figure 3-2). Keister

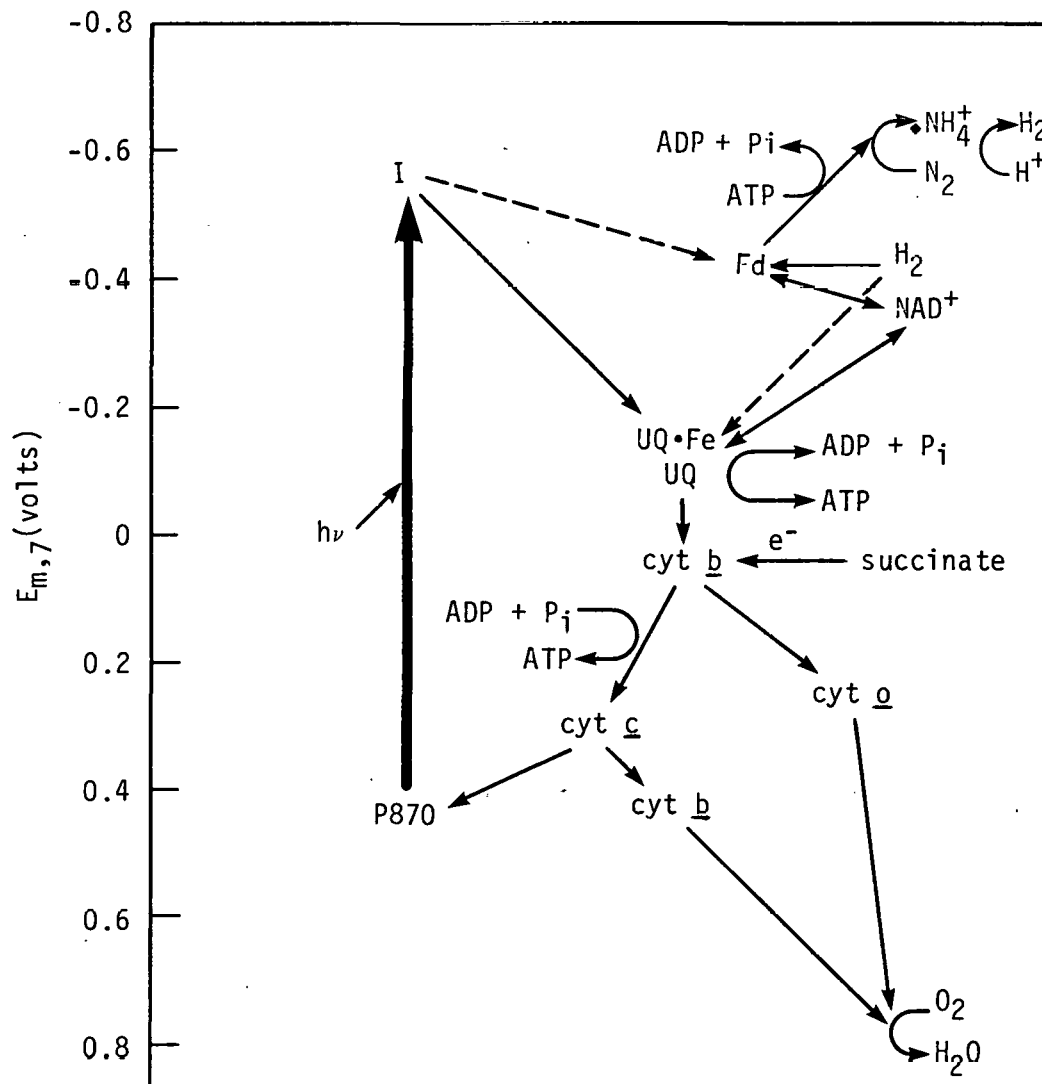


FIGURE 3-2. ELECTRON TRANSPORT IN PHOTOSYNTHETIC BACTERIA AND ITS RELATIONSHIP TO N_2 FIXATION AND H_2 METABOLISM.

Light excites an electron in P870, the reaction center bacteriochlorophyll, to a level where it can reduce the acceptor I. Subsequently the electron is transferred sequentially through an iron-quinone moiety (UQ•Fe, or X in Figure 3-1), a pool of ubiquinone (UQ), cytochrome-carriers, and back to oxidized P870. In the process ATP is generated. Noncyclic electron transport to ferredoxin (Fd) is represented by a broken line indicating an uncertain reaction. See text for further discussion. The scheme presented includes aerobic respiratory pathways which reflect data of Marrs and Gest (1973).

and Yike (1967) demonstrated that in the dark, ATP can provide the necessary energy to drive a reversal of electron flow from succinate to oxidized NAD^+ (although the rates obtained were only 20% or less than those observed in the light). However, no evidence for the reduction of ferredoxin via a reversed electron transport mechanism has been obtained.

The third possible mechanism for the generation of reduced ferredoxin was suggested by Bennett *et al.* (1964) as operative in *Chromatium*. They proposed that a phosphoroclastic cleavage of pyruvate reduces ferredoxin in a dark reaction analogous to that observed in *C. pasteurianum*. In this model the function of light is relegated to that of providing optimal levels of ATP through cyclic photophosphorylation. Anaerobically dark-grown cells of *R. rubrum* exhibit the phosphoroclastic reaction as well, though the cells have not been shown capable of N_2 -fixation or H_2 evolution under these conditions (Gorrel and Uffen, 1977). Further confirmation and extension of this model to other photosynthetic organisms is obviously needed.

3.2.1.3 Coupling to Uptake Hydrogenase

Hydrogenases from nonphotosynthetic sources couple specifically to a variety of different electron carriers. Ferredoxin (Mortenson, 1963), flavodoxin (Knight and Hardy, 1966), NAD^+ (Schneider and Schlegel, 1977), and cytochrome c_3 (Yagi *et al.*, 1968) are all physiological acceptors or donors for hydrogenase.

As mentioned previously, *in vivo* photosynthetic bacterial hydrogenases appear to function exclusively in the uptake direction. Hydrogen uptake in the purple sulfur bacterium *Chromatium* is linked to a sequential reduction of ferredoxin and of NAD^+ (Weaver *et al.*, 1965; Buchanan and Bachofen, 1968). A similar mechanism may exist in the green sulfur bacterium *Chlorobium* (Weaver *et al.*, 1965).

The nonsulfur photosynthetic bacteria apparently couple H_2 uptake in a manner different from that of the sulfur bacteria. Of those tested, none can use H_2 to reduce NAD^+ anaerobically in the dark (Klemme, 1969; Weaver, unpublished results). Exogenously added flavin mononucleotides become partially reduced by H_2 in deoxycholate-treated subcellular particles (Bose and Gest, 1962), suggesting a moderately low potential acceptor. It is of interest that many of these organisms contain ferredoxin (Shanmugam *et al.*, 1972), flavodoxin (Cusanovich and Edmonson, 1971), and cytochrome c_3 (Bartsch, 1978), all of which are acceptors or donors to hydrogenase from other sources. No physiological role for these components in the H_2 uptake metabolism of the nonsulfur photosynthetic bacteria has been observed.

There is reason to believe that, with proper reductant supplies and coupling, these hydrogenases can be made to function in the evolution direction. For example, H_2 is readily evolved by *Chromatium* hydrogenase in the presence of reduced methyl viologen (Gitlitz and Krasna, 1975). *Chromatium* ferredoxin, the normal carrier to this hydrogenase, has a midpoint potential 70 mV more negative than that of the H_2 couple (Arnon, 1965). Using *in vitro* or modified *in vivo* systems, reduced ferredoxin generated from photometabolism should drive the photoevolution of H_2 by hydrogenase.

3.2.2 Oxygenic Photosynthetic Organisms

Under appropriate conditions various algae and cyanobacteria evolve H_2 gas when illuminated with photosynthetically active light (380 to 720 nm). This implies the existence of a coupling mechanism linking the light-induced redox reactions with the H_2 -producing dark enzymatic reactions. In order to provide the essential foundation upon which a detailed discussion of the coupling mechanism can be based, a brief description of electron transport in oxygenic photosynthetic organisms is provided. Extensive reviews of the structural, functional, biochemical, and biophysical properties of the photosynthetic electron transport apparatus are available (Trebst, 1974; Junge, 1977; Goldbeck *et al.*, 1977).

3.2.2.1 Electron Transport

Unlike photosynthetic bacteria, in which the primary photochemical process involves a single photosystem, the oxygenic photosynthetic redox reactions of algae and cyanobacteria consist of a more complex form of photosynthetic electron transport which is driven by two sequentially linked photochemical reactions. Both are associated with enzyme-pigment complexes of membranous nature and are called photosystem I (PSI) and photosystem II (PSII). As illustrated in Figure 3-3, the primary photochemical reaction of PSI (which may be similar to that observed in bacterial reaction centers; see Section 3.2.1.1) generates a weak oxidant, $P700^+$, which subsequently receives electrons from PSII via a series of redox carriers (including a pool of plastoquinones, cytochrome *f*, and plastocyanin). At the same time it also produces a strong reductant X^- , which is the reduced form of the primary electron acceptor of PSI. At present, the chemical identity of X is uncertain. It may be a membrane-bound iron-sulfur protein, but alternative possibilities cannot be ruled out (Bolton, 1976; Malkin *et al.*, 1976; Heathcote *et al.*, 1978; Sauer *et al.*, 1978). Under normal conditions X^- donates its electrons to nicotinamide adenine dinucleotide phosphate ($NADP^+$, a hydrogen carrier) through another series of redox components including a well-characterized ferredoxin (Fd, an iron-sulfur protein) and ferredoxin - $NADP^+$ oxidoreductase (FP, a flavoprotein). The reduced form of $NADP^+$ together with ATP formed by coupled electron flow ultimately reduces CO_2 to carbohydrate $(CH_2O)_n$. However, the redox midpoint potential of X^- is thought to be approximately 100 to 200 mV more negative than that of the hydrogen electrode (Goldbeck *et al.*, 1977), and thus the reducing equivalents (which conserve a substantial fraction of the energy input provided by the absorbed photons) generated by PSI are sufficiently energetic to reduce hydrogen ions directly to molecular H_2 .

The primary photochemical reaction of PSII produces a weak reductant, Q^- (thought to be a specialized plastoquinone), that donates electrons to PSI through a series of inter-photosystem redox carriers (see Figure 3-3). At the same time PSII generates a strong oxidant, $P680^+$. Mediated by the water-splitting enzyme complex of PSII, $P680^+$ eventually oxidizes water to molecular O_2 and thereby obtains reducing equivalents (electrons) for the photochemical reduction of Q to Q^- . The basic redox reaction catalyzed by PSII can be expressed as follows:

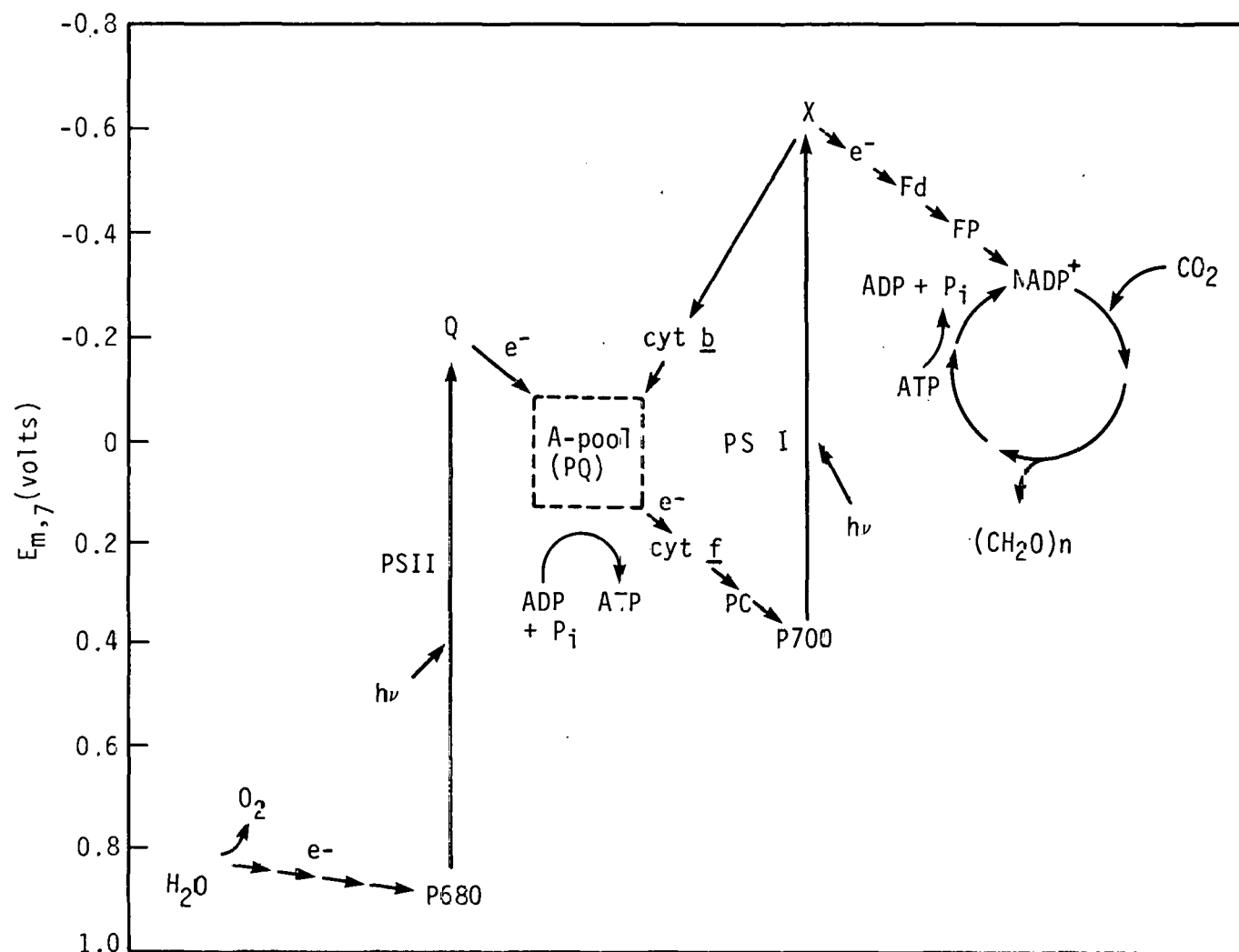
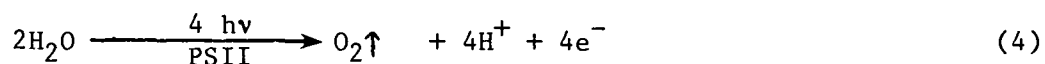


FIGURE 3-3. ELECTRON TRANSPORT SYSTEMS OF OXYGENIC PHOTOSYNTHETIC ORGANISMS.

Light absorbed by photosystem II (PSII) excites P680, the reaction center chlorophyll of PSII, and generates a strong oxidant capable of removing electrons from water. Light absorbed by photosystem I (PSI) excites P700, the reaction center chlorophyll of PSI, and generates a strong reductant X^- capable of reducing ferredoxin (Fd) and a flavoprotein (FP). Electron transport between the two photosystems is mediated by a pool of plastoquinone (PQ, also known as A-pool), cytochrome \bar{f} (cyt \bar{f}) and plastocyanin (PC). ATP created in the process is utilized in conjunction with PSI reductants to reduce CO_2 to the level of carbohydrate $(CH_2O)_n$.



where e^- represents the reducing equivalent at the level of Q^- .

The water oxidation reaction associated with PSII is a unique photochemical process that uses visible light to split water molecules efficiently. Because of the optical absorption properties and highly stable bond structure of water, nonenzymatic photolysis occurs only in the presence of photons with wavelengths shorter than 185 nm (Calvert and Pitts, 1966; Bolton, 1978). These wavelengths, of course, are not present in the solar spectrum at the surface of earth. Although visible light is poorly absorbed by water and is not sufficiently energetic to decompose water directly, it can split water if a suitable, photochemically active "catalytic system" is present. Such a system would combine the energy of two or more photons absorbed by the "catalyst" in a concerted "multiphoton reaction" or more likely in a sequential photodriven reaction cycle. PSII, in fact, uses visible light to split water in this manner. Briefly, the absorption of four visible photons (in sequential events which can be spaced up to a few seconds apart) results in the accumulation of four positive charges within the water-splitting enzyme complex. At this point, the complex rapidly oxidizes two molecules of water to molecular O_2 (for a review see Radmer and Cheniae, 1977) and releases four reducing equivalents.

In summary, reducing equivalents extracted from water by PSII are further activated by PSI. At the level of X^- , the electrons are energetically capable of spontaneously driving the various reductive reactions associated with the biosynthetic metabolism of the organism. However, as mentioned earlier, X^- is approximately 100 to 200 mV more negative than the hydrogen electrode and therefore is capable of reducing protons (which are also produced in the water-splitting reaction and are present in the aqueous medium) to H_2 gas.

At this point, the pertinent questions are: (1) can the reducing equivalents be coupled to the production of H_2 in vivo and, if so, (2) are the mechanisms of coupling identical in various O_2 -evolving photosynthetic organisms? The answer to the first question is yes (see Section 2), although H_2 evolution does not necessarily occur under physiological conditions (Bishop et al., 1977; Bothe et al., 1978). The answer to the second question is no. There are at least two different modes of coupling among the O_2 -evolving organisms. The first type of coupling involves the enzyme hydrogenase (3.1.1) and is found in green and red algae as well as cyanobacteria. The second type of coupling is found only in particular species of cyanobacteria and, like H_2 production in photosynthetic bacteria, involves the catalytic activity of the nitrogen-fixing enzyme complex, nitrogenase (3.1.2).

3.2.2.2 Coupling Mechanism in Algae

In their original study of H_2 photoproduction in algae, Gaffron and Rubin (1942) concluded that light-dependent evolution is not simply a light-accelerated version of the dark, fermentative H_2 production process. Rather, the authors provided the following farsighted interpretation of the mechanism: "We assume that the hydrogen originates from water in the photochemical reaction; that it is part of the hydrogen destined to reduce carbon dioxide.

Unable to reach the substrate, the hydrogen is transferred to the hydrogenase and there transformed into the free gas." Moreover, Frenkel (1952), using anaerobically adapted Chlamydomonas moewsuui which also exhibits H_2 production in the light, arrived at a similar conclusion. However, he reported that in the light H_2 and CO_2 (instead of H_2 and O_2) were produced at a ratio of 1.7:1 and concluded that an internal source of reductant (produced via a fermentative process) was also consumed during the photoproduction of H_2 . The reductant reacted with the oxidants (i.e., O_2 or its precursors) generated during the photochemical splitting of water. Questions about the source of reducing power continued for a number of years.

By the early 1960s most investigators recognized that O_2 -evolving photosynthetic organisms contained two photosystems operating in series (see Section 3.2.2.1). Consequently, the question arose whether both photosystems were involved in the photoproduction of H_2 . Healey (1970) observed in C. moewsuui that H_2 evolution was completely eliminated by monofluoroacetate (an inhibitor of the tricarboxylic acid or Krebs cycle reactions) but was rather insensitive to 3-(3,4-dichlorophenyl)-1,1-dimethylurea (DCMU, a potent and specific inhibitor of PSII electron transport). Stuart and Kaltwasser (1970), investigating the effects of heating, chemical inhibitors, and specific electron transport mutants on H_2 production by Scenedesmus, also raised serious questions about the ultimate source of electrons. However, both groups demonstrated the direct involvement of PSI in H_2 photoproduction. For example, PSI-defective mutants of Scenedesmus were unable to evolve H_2 (Stuart and Kaltwasser, 1970), and the action spectrum in C. moewsuui was characteristically that of a PSI-catalyzed reaction (Healey, 1970). More recently, Greenbaum (1977), using a short saturating flash technique, reported a very fast turnover time (0.1 to 5 msec) for PSI-catalyzed H_2 photoproduction in Scenedesmus, Chlamydomonas reinhardi, and Chlorella vulgaris. Efimtsev et al. (1976) also observed that H_2 could be detected in Chlorella pyrenoidosa within 1 - 2 msec of a short-duration (40 μ sec) flash. These results clearly demonstrated the intimacy of in vivo interaction between PSI and the hydrogenase system. Additional evidence for the absolute requirement of the PSI reactions has been reviewed recently by Bishop et al. (1977).

In contrast to the general agreement on the participation of PSI, the role of PSII in algal H_2 photoproduction is a subject of considerable controversy. On the one hand, several groups have concluded that water was the source of reducing equivalents (Gaffron and Rubin, 1942; Frenkel, 1952; Bishop and Gaffron, 1963); and on the other hand, Healey (1970), Stuart and Kaltwasser (1970), and Wang et al. (1971) reported that H_2 evolution was either unaffected or only partially sensitive to specific inhibitors of PSII activity. These conflicting observations were caused in part by different species of algae used in the various experiments (Stuart and Gaffron, 1972), by differences in the nutritional condition of the cells during their cultivation, and by differences in the prevailing physiological state of the organisms during assay (Stuart and Gaffron, 1972; Bishop et al., 1977). Given the intrinsic complexity of the various metabolic processes of algal cells, it is likely that in vivo photoproduction of H_2 in different organisms under different physiological and experimental conditions may well occur by different metabolic pathways. In any event, the participation of PSII reactions and, therefore, the ultimate utilization of water as a substrate is thermodynamically favorable. In addition, under appropriate experimental

conditions simultaneous photoproduction of both H_2 and O_2 gases has been clearly documented by various experimental techniques with different species of algae (Bishop and Gaffron, 1963; Bishop *et al.*, 1977; McBride *et al.*, 1977). Furthermore, in some cases the ratio of H_2 to O_2 produced in the light approaches the theoretical value of 2.0 as required for the splitting of water (Bishop *et al.*, 1977). Thus, at least under some conditions, water is the ultimate source of reducing power.

In any case, H_2 production appears to be catalyzed by an anaerobically adaptable hydrogenase. An anaerobic incubation period of varying duration is a prerequisite, and the adaptation process appears to require energy (Benemann *et al.*, 1978). In all species of algae examined to date, the conditions that lead to activation of photoproduction also lead to the appearance of H_2 -utilizing processes, such as reductive CO_2 fixation with H_2 as electron source (photoreduction and dark CO_2 fixation) and the reduction of O_2 by H_2 (the oxyhydrogen reaction). All observations so far suggest that H_2 photoproduction is catalyzed by an O_2 -sensitive, CO-inhibitable, ATP-independent reversible hydrogenase which is also responsible for the H_2 -utilizing reactions of the organism. However, there is evidence that some red algae contain only an uptake hydrogenase (Ben-Amotz *et al.*, 1975). Hydrogen photoproduction in algae is not sensitive to uncouplers of photophosphorylation or oxidative phosphorylation. In fact, the reaction is often stimulated by the uncouplers (Gaffron and Rubin, 1942; Frenkel, 1952; Healey, 1970; and Wang *et al.*, 1971). In contrast, photoproduction of H_2 in photosynthetic bacteria and cyanobacteria (see Sections 3.2.1 and 3.2.2.3) are highly sensitive to uncouplers of phosphorylation. Thus, unlike nitrogenase-catalyzed H_2 photoproduction in the procaryotic organisms which require ATP, algal cells involve only redox coupling between the low-potential reductants formed by PSI and hydrogenase. The most probable *in vivo* coupling reactions between the hydrogenase system and electron transport system of algae are summarized in Figure 3-4.

3.2.2.3 Coupling Mechanism in Cyanobacteria

Cyanobacteria are photosynthetic procaryotes situated between photosynthetic bacteria and green algae, on the evolutionary scale. They derive electrons for CO_2 reduction from water (and thus evolve O_2) as do green algae but some also fix N_2 as do photosynthetic bacteria. Of more than a thousand species identified, only a few have been extensively examined in the laboratory. Therefore, any generalized statements concerning the biochemistry and metabolism of these organisms must be accepted with caution.

Photosynthetic electron transport in cyanobacteria (Krogmann, 1973) is quite similar to that in algae and higher plants (see Section 3.2.2.1). Both PSII and PSI operate in series and cover similar redox potential ranges. Most of the electron transport redox carriers are similar or identical to those in eucaryotic photosynthetic organisms (Krogmann, 1977). Since cyanobacteria contain hydrogenase (Packer *et al.*, 1977a; Tel-Or *et al.*, 1978), it might be expected that coupling to H_2 production would be similar to that observed in green algae. Unfortunately, the situation is not that simple.

The limited information available on H_2 photoproduction in cyanobacteria is restricted to the N_2 -fixing species, which contain nitrogenase in addition to

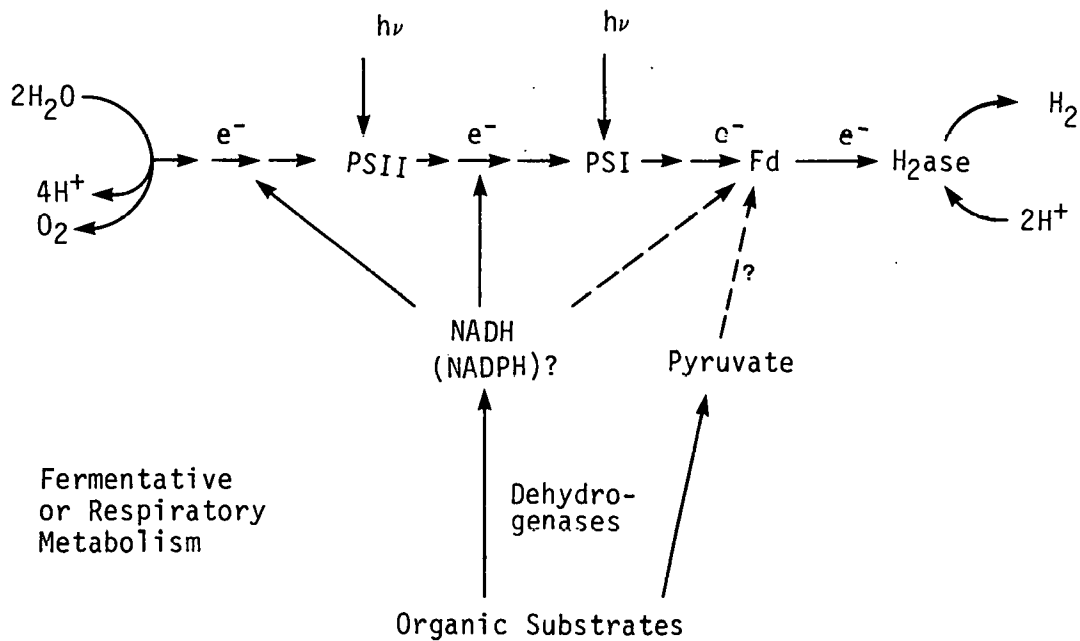


FIGURE 3-4. IN VIVO HYDROGEN PRODUCTION IN ALGAE.

The electron transport scheme and symbols are the same as in Figure 3-3. Hydrogen evolution is mediated by hydrogenase, which is directly coupled to ferredoxin. The reduced ferredoxin can be supplied by direct light-driven reactions or by dark metabolic reactions.

hydrogenase (Fay et al., 1968). Bothe et al. (1978) have reviewed this area quite recently. On the basis of the experimental conditions required for H_2 production and the effects of various inhibitors, it is generally believed that in vivo H_2 production in these organisms is coupled to CO-resistant, ATP-dependent nitrogenase activity (Benemann and Weare, 1974; Jones and Bishop, 1976; Peters et al., 1976; Weissman and Benemann, 1977). CO-sensitive, ATP-independent hydrogenase activity is not coupled to in vivo H_2 production, probably because of poor reactivity with the low potential electron carrier ferredoxin (Fujita and Myers, 1965; Bothe et al., 1978). Thus it appears that the hydrogenases of these organisms function exclusively in H_2 uptake reactions (Fujita and Myers, 1965; Benemann and Weare, 1974; Bothe et al., 1978). As discussed in Section 3.1, the organism probably uses this mechanism to recycle H_2 leaked during N_2 fixation, thereby increasing the efficiency of the latter reaction (Smith et al., 1976; Bothe et al., 1977; Tel-Or et al., 1978; Peterson and Wolk, 1978).

Nitrogenase, even more than hydrogenase in eucaryotic algae, is extremely sensitive to inactivation by O_2 (Fay and Cox, 1967; Haystead et al., 1970). Thus an in vivo means of protecting nitrogenase activity from O_2 inactivation must be an integral part of the overall mechanism of coupling photosynthetic reductants to the nitrogenase system. Some filamentous cyanobacteria (*Anabaena*, for example) effectively overcome the problem by differentiating specialized cells known as heterocysts which provide an anaerobic cellular environment for nitrogenase in spite of the presence of O_2 in the extra-heterocystous space. Detailed descriptions of the developmental, metabolic and structural aspects of heterocysts have appeared in recent reviews (Stainer and Cohen-Bazire, 1977; Haselkorn, 1978).

That the heterocyst is the major site of nitrogenase activity in *Anabaena* has been well documented (Fay et al., 1968; van Gorkom and Donze, 1971; Thomas and David, 1972; Weare and Benemann, 1973). But how do heterocysts provide an anaerobic environment for nitrogenase? The answer is complex. First, *Anabaena* heterocysts are surrounded by a multilayered cell wall structure and their connections with neighboring vegetative cells are much more restricted than those between two neighboring vegetative cells (Lang and Fay, 1971; Wilcox et al., 1973a and 1973b). These structural modifications provide a diffusion barrier between the interior of the heterocyst and its surrounding environment. Furthermore, the highly reducing nature of the intra-heterocystous medium (Stewart et al., 1969) and the very active respiratory process of the heterocysts (Fay and Walsby, 1966) contribute to the maintenance of an anaerobic environment.

Perhaps the most important mechanism for protecting nitrogenase activity from O_2 inhibition results from a major modification in the photosynthetic electron transport apparatus. During heterocyst differentiation and development, the PSII complex disappears in a process probably involving proteolytic destruction (Haselkorn, 1978). Thus, mature heterocysts exhibit no detectable PSII activity and are unable to produce O_2 (Thomas, 1970; Donze et al., 1972; Tel-Or and Stewart, 1975 and 1977). On the other hand, the heterocysts retain highly active PSI complexes capable of generating ATP in a coupled reaction with light-driven cyclic electron flow (Donze et al., 1972; Lex and Stuart, 1973; Donze et al., 1974; Alberte and Tel-Or, 1977). The modified photosynthetic processes in the heterocyst are best described by Stanier and

Cohen-Bazire (1977): "...the available information suggests that the mature heterocyst retains a modified photosynthetic apparatus, which is a phenocopy of that of a purple or green (photosynthetic) bacterium."

Lacking the water-splitting function of PSII, sustained N_2 fixation or H_2 production by nitrogenase in the heterocyst is critically dependent on the supply of reductants imported from the neighboring vegetative cells. In fact, active movement of organic carbon compounds and sugar phosphates from the vegetative cells into heterocysts has been demonstrated by autoradiographic techniques and other tracer analyses (Wolk, 1968; Weare and Benemann, 1973; Juttner and Carr, 1976; Wolk *et al.*, 1976). The mechanism of intercellular transport of organic carbon and the mode of reductant generation in the heterocysts have been reviewed by Haselkorn (1978). Maltose is the major fixed carbon species transported to the *Anabaena* heterocyst, wherein it is metabolized to produce NADPH. However, it is not clear whether NADPH is utilized to reduce ferredoxin (the most probable immediate electron donor for nitrogenase) exclusively in a dark reaction catalyzed by $NADP^+$ -ferredoxin oxidoreductase or whether it is also used as an electron donor for PSI in the photochemical reduction of ferredoxin (Bothe *et al.*, 1978). Reduced ferredoxin can also be generated from pyruvate via pyruvate-ferredoxin oxidoreductase (Bothe *et al.*, 1974).

In summary, the *in vivo* coupling mechanism employed by the heterocystous cyanobacteria for N_2 fixation or H_2 production is a complex one. It involves a spatial separation of two mutually incompatible partial processes-- PSII-dependent generation of O_2 and fixed carbon in vegetative cells and anaerobic N_2 fixation or H_2 production by nitrogenase in the heterocysts. The integration of the two partial processes is achieved via intercellular transport of metabolites and reductants. In essence, the coupling mechanism developed by the heterocystous cyanobacteria is equivalent to a two-staged H_2 producing scheme (see Section 5.1.4) using oxygenic algae (vegetative cells) to generate reductants for the formation of H_2 by anoxygenic photosynthetic bacteria (heterocysts). While the system apparently overcomes the adverse effect of O_2 , the overall energy efficiency of H_2 production is inherently lower than one would expect from a more direct means of coupling.

Hydrogen production by a nonheterocystous, filamentous cyanobacterium (*Plectonema boryanum*) was reported to parallel the nitrogenase activity of the cells (Weare and Benemann, 1974). Photosynthetic activity (O_2 -evolution) decreased as nitrogenase activity (H_2 production and nitrogen fixation) was induced by anaerobic adaptation. The reductants for H_2 production in this case are derived from stored substrates generated by photosynthesis. Apparently, in the absence of heterocysts a temporal separation of these mutually incompatible reactions is necessary. Among unicellular cyanobacteria, *Gleothoece* exhibits a similar temporal separation of nitrogenase activity and photosynthetic O_2 evolution activity (Gallon *et al.*, 1975). However, H_2 production in this organism has not been reported.

3.3 CONTROL MECHANISMS

Light intensity and O_2 tension are the dominant factors in the biosynthetic control of the many components of the bacterial photosynthetic apparatus

(Oelze and Drews, 1972; Kaplan, 1978, Drews, 1978). However, despite their intimate connection with photosynthesis, hydrogenase and nitrogenase activities are apparently regulated by other mechanisms.

The adaptation of algal hydrogenase (Gaffron, 1940) requires 2 to 30 hours or more of dark, anaerobic incubation (Kessler, 1974). Inhibitors of protein synthesis partially inhibit the process, suggesting that both de novo synthesis and activation of existing hydrogenase occur during the anaerobiosis (Oesterheld, 1971). Additions of glucose or iron-EDTA stimulate the appearance of hydrogenase activity through unknown means (Sasaki, 1966). The BSW8 strain of the photosynthetic bacterium Rps. sulfidophila also exhibits hydrogenase activity that is strongly stimulated by anaerobic, dark incubation (see Table 4-1). However, only cultures interrupted in logarithmic phase of growth showed such large dark-induced increases; fully grown or newly inoculated cultures do not respond with more than a doubling of activity when placed in darkness (Weaver, to be published). Hydrogen itself is not an effector, but the presence of both a reduced carbon source such as glucose or formate and bicarbonate ion is essential for expression of hydrogenase.

In the light, autotrophic growth on H_2 also produces high levels of hydrogenase activity. Most photosynthetic bacteria exhibit approximately equivalent levels of hydrogenase activity, whether grown heterotrophically or autotrophically on H_2 , when assayed during the stationary phase. The enzyme is not constitutive in these organisms, however; samples taken at different times during heterotrophic growth show that logarithmic cultures have little hydrogenase activity. Only when cultures depart from the logarithmic phase of growth does hydrogenase activity appear, and during stationary phase the level of activity equals that of autotrophic cells. It is at this same point of the growth cycle that previous work (Weaver, 1975) indicated that major alterations occur in the physiological (including H_2 photoevolution), spectral, and component makeup of the photosynthetic apparatus, apparently attributable to a drop in the redox potential within the cells. Schick (1971b) likewise observed an increase of H_2 -mediated photoreduction of CO_2 at this stage of growth. Winpenny (1969) has suggested that E. coli also initiate hydrogenase biosynthesis when incubated in a reducing environment. In addition, O_2 inhibits the biosynthesis of hydrogenase in aerobically grown cultures of photosynthetic bacteria. This finding may also be due to an alteration, this time through an increase, in the redox potential of the medium. The underlying mechanism(s) for these phenomena remains unknown, however.

Lee and Wilson (1943) reported that hydrogenase is coinduced with nitrogenase in some N_2 -fixing organisms. However, such strict correlations are not always observed in photosynthetic bacteria where nitrogenase-induced logarithmic phase cultures exhibit no demonstrable hydrogenase activity (see Table 4-1). However, the lowered in vivo redox potentials in aged cells that are associated with the initiation of H_2 photoevolution from nitrogenase are also coincident with the biosynthesis of hydrogenase.

Small amounts of ammonium ion stimulate the photoreduction of CO_2 with H_2 (Schick 1971c; Hillmer and Gest, 1977b). The rapidity of the stimulation implies that the regulatory effect is at the enzyme level, but the specific site of NH_4^+ influence in this multistep reaction is presently unclear.

In contrast to hydrogenase, small amounts of NH_4^+ inhibit nitrogenase-mediated H_2 production in photosynthetic bacteria. Furthermore, the inhibition occurs at two levels. First, the biosynthesis of nitrogenase is repressed by NH_4^+ (Ormerod *et al.*, 1961). This is not altogether surprising since nitrogenase can constitute up to 20% of the cellular soluble protein (Ludden and Burris, 1978), and its unregulated synthesis under conditions where nitrogenase is not needed would impose an unacceptable metabolic burden on the cell. On the other hand, some forms of combined nitrogen, such as glutamate, support growth yet do not repress nitrogenase synthesis. Most amino acids do not repress nitrogenase in *Rps. capsulata* (Hillmer and Gest, 1977a), but several do so in *R. rubrum* (Bregoff and Kamen, 1952). In the latter report a steady-state level of NH_4^+ was observed in culture medium containing repressive amino acids. Presumably the NH_4^+ comes from cellular deamination reactions, causing the inhibition. Very high levels of glutamate inhibit nitrogenase biosynthesis in *Rsp. capsulata* as well, and this has also been correlated with the release of free NH_4^+ into the medium (Hillmer and Gest, 1977a).

Ammonium ion itself is probably not the repressor of nitrogenase synthesis. Methionine sulfoximine, a glutamate analogue, derepresses *A. vinelandii* nitrogenase even in the presence of excess NH_4^+ (Gordon and Brill, 1974). Similar results have been obtained with *R. rubrum* (Weare and Shanmugam, 1976) and *A. cylindrica* (Stewart and Rowell, 1975). The data strongly suggest that glutamine synthetase, the enzyme responsible for newly fixed ammonia assimilation, plays a critical role in the biosynthetic regulation of nitrogenase in addition to other enzymes of cellular nitrogen metabolism (Brill, 1975; Magasanik *et al.*, 1975).

A second form of NH_4^+ control is exerted at the enzyme level and effects short-term regulation of presynthesized nitrogenase activity. Concentrations as low as 10 μM are inhibitory (Meyer *et al.*, 1978a). Inhibition is observed immediately upon addition of NH_4^+ , and total release from inhibition is observed upon its metabolic removal (Schick 1971a; Hillmer and Gest, 1977b). The mechanism of this phenomenon is unknown since purified nitrogenases are inhibited only slightly by much higher concentrations of NH_4^+ (Neilson and Nordlund, 1975).

SECTION 4.0

COMPARATIVE RATES OF HYDROGEN CONSUMPTION

Any comparison of the published rates of H_2 exchange suffers from variations in both the assay systems and the rate calculation methods employed by different investigators. These variant factors include the conditions for growth of the cells, the type of assay employed, the nature of the reactants and their concentrations, temperature, pH, references used to calculate activity (dry weight, protein, chlorophyll, etc.), calculations based on maximal or steady state rates, and the presence of O_2 -scavenging or continuous sparging systems. Adequate comparisons can be made only from data accumulated under similar conditions.

Comparative surveys have recently been initiated. A survey of the hydrogenase activities of twelve aerobic H_2 -consuming nonphotosynthetic bacteria was made by Schneider and Schlegel (1977). Surprisingly, a strain of Pseudomonas exhibited a 20-fold higher activity than A. eutrophus strains though absolute rates were not reported. Additionally, the H_2 consumption rates of a large number of photosynthetic bacteria have been determined (Weaver, unpublished results); they commonly vary between 0 and $6 \mu l H_2 \cdot mg \text{ dry wt}^{-1} \cdot \text{min}^{-1}$ for most strains (see Table 4-1). A notable exception is Rps. sulfidophila BSW8, which under certain environmental conditions (see Section 3.3) expresses a high level of derepressed hydrogenase activity. Examples of nonphotosynthetic bacteria, including a highly active marine strain of Desulfovibrio, are also included in Table 4-1.

Table 4-1. REPRESENTATIVE COMPARATIVE RATES OF H₂ UPTAKE

Organism	Growth Conditions	Activity ($\mu\text{l H}_2 \cdot \text{mg dry wt}^{-1} \cdot \text{min}^{-1}$)
Photosynthetic Bacteria		
<u>Chromatium vinosum</u> , Strain D	Thiosulfate heterotrophic, light	5.82
<u>Rhodospirillum rubrum</u> S-1	Heterotrophic, light	1.35
	Heterotrophic, light (nitrogenase induced)	0.45
	Heterotrophic, light (early logarithmic phase)	0.00
	Heterotrophic, dark, aerobic	0.00
	H ₂ autotrophic, light	2.09
<u>Thiocapsa roseopersicina</u>	Thiosulfate autotrophic, light	3.80
<u>Rhodomicrobium vannielii</u>	Heterotrophic, light	0.52
<u>Rhodopseudomonas capsulata</u> SP7	H ₂ autotrophic, light	24.95
<u>Rhodopseudomonas capsulata</u> SCJ	H ₂ autotrophic, light	2.42
<u>Rhodopseudomonas sulfidophila</u> BSW8	Heterotrophic, light	4.15
	Heterotrophic, light (nitrogenase induced)	6.13
	Heterotrophic, light (dark incubated 60 hours)	103.1
	H ₂ autotrophic, light	87.46
	H ₂ autotrophic, light	4.41
<u>Rhodopseudomonas sulfidophila</u> W4		
Nonphotosynthetic Bacteria		
<u>Desulfovibrio</u> , marine sp.	Heterotrophic, dark	42.88
<u>Clostridium pasteurianum</u>	Heterotrophic, dark	5.42

Unpublished results of P. Weaver

All reactions were measured in the dark with Warburg apparatus at 32°C and H₂ as gas phase. Cultures were in early stationary phase of growth unless otherwise noted and assayed in spent medium adjusted to pH 7.2. C. pasteurianum cells were previously frozen to -20°C. Reactions were initiated by tipping 0.3 ml of methylene blue solution (75mg/ml) into the reaction vessel.

SECTION 5.0

LIGHT-DEPENDENT HYDROGEN PRODUCTION

Production schemes have been assembled on a laboratory scale to demonstrate the technical feasibility of employing biological systems to produce H_2 at the direct expense of light energy. Both whole-cell and cell-free systems are discussed in this section.

5.1 IN VIVO SYSTEMS

5.1.1 Photosynthetic Bacteria

Intact photosynthetic bacteria offer the best immediate potential for applied photobiological H_2 production systems (see Section 8.0 for additional justification of this statement). The technology is probably available now, and functioning systems could be built if suitable low cost, metabolizable substrates were available. Kobayashi and colleagues (1973 and 1976) have described solar pilot plants that use photosynthetic bacteria to purify organic industrial wastes. A 10-ha pond can treat 100 tons of effluent (30,000 ppm BOD) per day. As yet no attempt has been made to evolve and collect H_2 from this process though the bacterial cell mass generated has been used as animal feed supplement and as fertilizer.

Other forms of biomass are plentiful and could be suitable substrates if they could be attacked metabolically by photosynthetic bacteria. Cellulose, lignin, and agar contain low levels of combined nitrogen and, as substrates, would cause the derepression of nitrogenase in bacterial cells. Even if photosynthetic bacteria could not attack these substrates directly, they could utilize the saccharification products generated from preparatory chemical or biological hydrolysis. Obviously, more research is needed in this area.

Reported rates for photoproduction of H_2 are invariably made from cells grown on defined medium, usually with glutamate as the sole nitrogen source (to induce nitrogenase) and organic acids as a carbon source. The rates for a given organism (Table 5-1) vary from laboratory to laboratory, probably due to many of the same factors that cause variations in H_2 uptake. The procedure of harvesting and washing cells prior to assay invariably results in lowered rates. This is probably attributable at least in part to oxygen inactivation during the preparative procedure, since the rates obtained from growing cultures are normally much higher than those from washed cells. A large number of photosynthetic bacteria in growing cultures have been assayed for H_2 evolution activity (Weaver, unpublished data). The extreme examples are included in Table 5-1. Rates can be maintained over several days until the substrate is nearly exhausted. Note also in Table 5-1 that a partially derepressed R. rubrum nitrogenase mutant (Weare, 1978) has twice the H_2 evolution rate of the wild-type strain under similar assay conditions.

Bennett and Weetall (1976) have made the first attempt to construct a laboratory-scale H_2 photoproduction device (which might be used as a model in future applied systems) using photosynthetic bacteria. The approach was to

Table 5-1. REPRESENTATIVE RATES OF H₂ PHOTOEVOLUTION FROM
PHOTOSYNTHETIC BACTERIA (LIQUID CULTURE)

Organism	Strain	Reference	Activity ($\mu\text{l H}_2 \cdot \text{mg dry wt}^{-1} \cdot \text{hr}^{-1}$)
<u>Chromatium vinsoum</u>	D	Arnon <u>et al.</u> , 1961	9
<u>Ectothiorhodospirial shaposhnikovii</u>	---	Kondratieva, 1977	4
<u>Rhodopseudomonas capsulata</u>	231	Weaver <u>et al.</u> , 1975	1
	S.L.	Hillmer and Gest, 1977a	130
	B10	Kelley <u>et al.</u> , 1977	22
	B10	Meyer <u>et al.</u> , 1978	115
	SCJ	Weaver (unpubl.)	168
	LB2	Weaver (unpubl.)	176
<u>Rhodopseudomonas palustris</u>	---	Kondratieva, 1977	20
<u>Rhodospirillum rubrum</u>	S-1	Gest and Kamen, 1949a	35
	S-1	Gest <u>et al.</u> , 1950	8
	S-1	Ormerod <u>et al.</u> , 1961	109
	S-1	Gest <u>et al.</u> , 1962	60
	S-1	Schick, 1971b	14
	---	Gogotov and Zorin, 1972	8
	S-1	Weaver, 1975	146
	---	Bennett and Weetall, 1976*	0.6
	---	Kondratieva, 1977	23
	S-1	Weare, 1978	4
	II C31	Weare, 1978	7
<u>Thiocapsa roseopersicina</u>	---	Kondratieva, 1977	14

*Data obtained in an immobilized system.

encapsulate R. rubrum cells in agar and to pass substrates over the entrapped cells. This resulted in H_2 evolution which lasted for prolonged periods of time. Unfortunately, the rates reported by the authors are two orders of magnitude less than those obtained for cells in liquid culture (see Table 5-1). This is probably due to the suboptimal temperature used and the fact that CO_2 and minerals were not present in the system. The choice between practical liquid or immobilized systems must obviously await further performance and economic analyses.

5.1.2 Green Algae

Light-dependent H_2 production in single-cell green microalgae has remained a rather esoteric area of research for nearly four decades. Studies have been conducted mainly on a few laboratory-established strains (notably Scenedesmus, Chlamydomonas, and Chlorella genera) readily available from their use as tools in the study of photosynthesis. Only recently has there been any attempt to evaluate the process as a potential vehicle for photobiological solar energy conversion. This effort began a few years ago in the United States with the first attempts to screen for other species of algae better suited to the production of H_2 (Gibbs, 1973; Bishop et al., 1977).

H_2 evolution in laboratory-cultivated algae occurs only under a stringent set of conditions (Gaffron and Rubin, 1942; Frenkel, 1952; Healey, 1970; Bishop et al., 1977). For example, anaerobiosis is required for the activation of hydrogenase and the partial pressure of CO_2 also must be kept low. The process is easily inhibited by light intensity considerably below that required to saturate photosynthesis. Furthermore, even under these rather restrictive laboratory conditions, H_2 production remains a highly transitory event, lasting from a few minutes to several hours (Healey, 1970; Bishop et al., 1977; McBride et al., 1977). The maximal transient rates of H_2 production reported to date range from 48 to 70 $\mu\text{moles of } H_2 \cdot \text{mg Chl}^{-1} \cdot \text{hr}^{-1}$ (Bishop et al., 1977; McBride et al., 1977). However, these transient rates are still far below the average rate of steady state photosynthesis (equivalent to approximately 200-300 $\mu\text{moles of } H_2 \cdot \text{mg Chl}^{-1} \cdot \text{hr}^{-1}$) observed in most algal species. Hydrogen production rates measured over an extended period of time are lower yet, amounting to a few $\mu\text{moles of } H_2 \cdot \text{mg Chl}^{-1} \cdot \text{hr}^{-1}$ (Stuart and Gaffron, 1972). Since the current status of algal H_2 photo-production research is rather embryonic, it would be futile to discuss the environmental and nutritional conditions affecting H_2 evolution. Consequently, only the intrinsic metabolic processes that undoubtedly contribute to the low rates of H_2 production in these organisms are discussed here.

First, the low rates can be traced largely to the O_2 -sensitivity of hydrogenase (see Sections 3.1.1 and 6.1) and its residence within the same cell that houses the O_2 -generating PSII complex. When H_2 production is coupled to the splitting of water or whenever PSII activity is observed, O_2 is produced within the cell. If not rapidly removed, the O_2 inactivates the catalytic activity of hydrogenase and abolishes H_2 production. This is clearly demonstrated by the fact that H_2 production is severely curtailed if a cell suspension is equilibrated with O_2 at a partial pressure exceeding 0.4% of one atmosphere (Healey, 1970; McBride et al., 1977). Under moderate illumination, algal cells readily produce O_2 at concentrations exceeding this value. As a

result, H_2 production is normally observed only at low light intensity when photosynthetic O_2 evolution is not inhibited (Gaffron and Rubin, 1942; Frenkel, 1952; Healey, 1970). In order to utilize more fully the capacity of the photosynthetic apparatus for H_2 production, it will be necessary to confer a greater degree of in vivo O_2 tolerance upon hydrogenase, perhaps via genetic modification of the enzyme itself (Togasaki, 1973; Monty and Beck, 1973; McBride et al., 1977).

Second, the complex cellular metabolism of intact algae includes several wasteful processes (in terms of decreasing H_2 evolution) which either compete directly for the low potential reductant or consume H_2 after its formation. These reactions are: (1) photosynthetic CO_2 -fixation and other NADPH-dependent reductive synthetic processes that compete with the hydrogenase for electrons from ferredoxin via ferredoxin-NADP⁺ oxidoreductase (Forti, 1977); (2) photoreduction ($CO_2 + 2H_2 \xrightarrow{\text{Hydrogenase}} CH_2O + H_2O$), or CO_2 -dependent hydrogen consumption, involving hydrogenase-catalyzed generation of reduced NADP and reductive CO_2 fixation via the Calvin cycle of normal photosynthesis (Gaffron, 1940; Gingras et al., 1963); (3) auto-oxidation reactions (i.e., the direct reaction of the primary acceptor of PSI, reduced ferredoxin, or reduced flavoprotein with O_2 (Mehler, 1941; Heber and French, 1968; Lien and San Pietro, 1978); and (4) the oxy-hydrogen reaction ($2H_2 + O_2 \xrightarrow{\text{Hydrogenase}} 2H_2O$) or hydrogenase-catalyzed reduction of oxygen by hydrogen to produce water (Gaffron, 1940; Kessler, 1974). This last reaction may proceed through auto-oxidation of hydrogenase (reduced by H_2) or through hydrogenase-catalyzed reduction of ferredoxin followed by reaction (3). More likely, the reaction proceeds through a coupled mechanism involving the hydrogenase-mediated generation of reduced substrates, such as NAD(P)H, followed by the respiratory oxidation of the substrate by molecular oxygen. In any case, the net result is equivalent to a reversal of water splitting.

The operation of these reactions in vivo results in a decrease in the rate of net H_2 production. Obviously, the development of an efficient algal system for photobiological H_2 production requires a clear understanding of the basic biochemical events involved in each of these in vivo metabolic processes as well as elucidation of the detailed mechanisms controlling electron flow from the reducing side of PSI to the various low potential redox reactions within the cell. Unless these fundamental biochemical and metabolic control processes are clearly defined, any attempt to improve the rate and efficiency of H_2 production from intact algae will remain strictly empirical and has low probability of success.

5.1.3 Cyanobacteria

The use of photosynthetic bacteria or eucaryotic (green and red) algae represents potentially the most direct route to the photoevolution of H_2 in intact cellular systems. This is because the nitrogenase and hydrogenase enzymes which respectively catalyze the process in the two types of organisms are rather closely coupled to the reducing power generated by the primary photoprocesses (see Section 3.2). On the other hand, the presence of any O_2 (including that either leaked into or generated by the system) abolishes hydrogenase and nitrogenase activity in these organisms (Section 3.1).

With the first reports that cyanobacteria (blue-green algae) were able to generate H_2 either in the presence of exogenous organic substrates in the dark (Oshchepkov *et al.*, 1973; Gogotov and Kosyak, 1976) or in nitrate-free media under an atmosphere of argon and CO_2 in the light (Benemann and Weare, 1974), came the important observation that atmospheric O_2 levels only decreased H_2 evolution to a limited extent (Benemann and Weare, 1974). Consequently, the use of intact heterocystous cyanobacteria to produce H_2 has been seriously considered as a means of solar energy conversion. According to Benemann (1977) cyanobacteria are the only organisms that partially meet the following minimum requirements for consideration as a biological H_2 -generating system using water as a substrate: (1) high specific rates of H_2 production and photosynthetic efficiencies, (2) sustained H_2 and O_2 generation (up to months), (3) stoichiometric ratios of H_2 and O_2 produced from water, (4) no limitations to scale-up, and (5) facile operation and control.

Although most of the work reviewed in this section was performed using Anabaena cylindrica, other fresh water cyanobacteria reportedly photoevolve H_2 , including Anabaena azollae, Anabaena variabilis, Plectonema boryanum, Tolypothrix, Nostoc, Chloroglea, Anabaenopsis sp. and Mastigocladus laminosus (Benemann and Weare, 1974; Weare and Benemann, 1974; Efimtsev *et al.*, 1975; Newton, 1976; Peters *et al.*, 1976; Benemann *et al.*, 1977; Benemann *et al.*, 1978). In addition, a number of marine cyanobacteria including Oscillatoria brevis, Calothrix membranacea, Calothrix scopulorum and several Miami BG isolates also evolve H_2 in the light (Lamber and Smith, 1977; Mitsui and Kumazawa, 1977).

Weissman and Benemann (1977) recently reported that nitrogen-starved cultures of A. cylindrica 629 photoevolve both H_2 and O_2 continuously for 7 to 19 days. Under such conditions the cultures turn from green to yellow after a day or two due to a loss of intracellular phycocyanin (Nielson *et al.*, 1971). In addition, the percentage of heterocysts increases (Benemann and Weare, 1974; Jeffries *et al.*, 1978). The maximum rate of H_2 production observed by Weissman and Benemann (1977) was $32 \mu l \cdot mg \text{ dry wt}^{-1} \cdot hr^{-1}$ or $30 \text{ ml} \cdot l \text{ culture medium}^{-1} \cdot hr^{-1}$. These rates were obtained after actively growing cells exposed to an air/0.3% CO_2 atmosphere were transferred to an argon/0.3% CO_2 atmosphere (nitrogen-starved conditions) and represent a 250% to 350% increase compared to unstarved cells. Maximum laboratory production rates occurred after one to two days of starvation but fell to one-half the maximum after five to seven days. This decline is probably due to limitations in the supply of reductant to the heterocysts and is accompanied by filament breakage (Weissman and Benemann, 1977). The average thermodynamic conversion efficiencies (incident light energy divided into the free energy of the H_2 produced) reported over the lifetime of the experiments (up to 18 days) were around 0.4%, but peak values of 0.8% were also observed. Jeffries *et al.* (1978) have reported similar evolution rates and conversion efficiencies but a longer time (six days) to reach a maximum H_2 production. Average hydrogen photoevolution rates in the marine cyanobacterium, Miami BG7, (Mitsui and Kumazawa, 1977) are about one-fourth of those reported in A. cylindrica. It should be mentioned that the reported rates and conversion efficiencies are not solar values since artificial light sources were used.

Benemann *et al.* (1977) have subsequently improved upon earlier laboratory results by slightly modifying the nitrogen starvation conditions. The

addition of a small amount of N_2 to the sparging gas (resulting in a 1.0% N_2 /0.3% CO_2 /98.7% Ar mix) resulted in maximum H_2 evolution rates of $40 \mu l \cdot mg \text{ dry wt}^{-1} \cdot hr^{-1}$ and average rates of $23 \mu l \cdot mg \text{ dry wt}^{-1} \cdot hr^{-1}$ for 18 days in one case (or about a 1.2% average thermodynamic conversion efficiency). H_2 to O_2 evolution ratios of about 2:1 were also reported. High levels of N_2 normally inhibit H_2 production (see Section 5.1.3.1). However, 1% is apparently low enough that the efficiency of N_2 reduction remains low but high enough to allow cell maintenance without cell growth (Benemann *et al.*, 1977). Furthermore, filament breakage decreases, but under these conditions the reductant supply from vegetative cells is still limiting.

Benemann *et al.* (1977 and 1978) have started to develop an outdoor system and have observed H_2 evolution for 30 days with average solar conversion efficiencies of 0.1% to 0.2%. They have also noted increased outdoor H_2 evolution rates in M. laminosus (a thermophilic strain) as compared to A. cylindrica.

A number of environmental and nutritional conditions affect H_2 photoevolution in cyanobacteria and these are discussed in the following sections. Some of these factors may well provide clues for improving future H_2 photoevolution rates.

5.1.3.1 Nitrogen

Molecular N_2 from the atmosphere inhibits the dark production of H_2 in A. variabilis (Oshchepkov *et al.*, 1973) and photoproduction in A. cylindrica (Benemann and Weare, 1974) and several marine species when the N_2 concentration in the gas phase is as low as 15% (Lambert and Smith, 1977). However, as indicated previously, lower concentrations ($\sim 1\% N_2$) appear to stimulate H_2 production under nitrogen-starved conditions.

Weissman and Beneman (1977) have reported that small additions of ammonium ion ($10^{-4} M$) to dense cultures of A. cylindrica early in the period of N_2 starvation (after one or two days) results in the maintenance of higher H_2 production rates than those observed in unfed cultures. Moreover, the use of small amounts of NH_4Cl proved to be a tool for controlling the H_2 to O_2 rates in starved cultures. Ratios of 4:1 were commonly observed at the beginning of an experiment when stored reductant was high. The early addition of NH_4Cl increased the rate of O_2 evolution along with PSII activity in vegetative cells, and ratios of 1.7:1 were observed. This is quite close to the ratio of 2 expected if water were the ultimate source of reductant. Aging the starved cultures also led to a decrease in the H_2 to O_2 ratio but in this case at the expense of H_2 production. Later additions of NH_4Cl had no effect. In contrast, Jeffries *et al.* (1978), using apparently similar conditions, found that small additions of NH_4Cl ($2 \times 10^{-4} M$) destabilized the system and eventually led to the suppression of H_2 photoproduction as compared to controls.

5.1.3.2 Carbon Monoxide and Acetylene

Carbon monoxide is known to inhibit hydrogenase activity in general (Hoberman and Rittenberg, 1943; Peck *et al.*, 1956). However, at a concentration of 2% in N_2 sparging gas, it reverses N_2 gas-inhibited H_2 production both in

unstarved cultures of A. cylindrica (Benemann and Weare, 1974), and in A. azollae (Peters et al., 1976). Bothe et al., (1977), also working with unstarved A. cylindrica cultures, observed a considerable increase in H_2 evolution when they were exposed to optimal concentrations of both CO (0.15 mM) and C_2H_2 (concentrations as high as 25 mM were not saturating for H_2 evolution under argon). Neither CO nor C_2H_2 alone were promotive under these conditions. The explanation for the increase in hydrogen evolution was that CO plus C_2H_2 unmasked H_2 evolution by nitrogenase from the normally faster uptake of the gas by uptake hydrogenase (Bothe et al., 1977). Lambert and Smith (1977) reported similar results in unstarved marine cyanobacteria. Both 2% CO and 10% C_2H_2 (in air) promoted H_2 photoevolution (compared to cultures exposed to air alone), and the effects of CO and C_2H_2 were additive. On the other hand, acetylene inhibits H_2 photoproduction in starved cultures of A. cylindrica (Weissman and Beneman, 1977) and A. azollae (which lives in a symbiotic relationship with the water fern Azolla (Peters et al., 1976). This is in agreement with the *in vitro* work of Rivera-Ortiz and Burris (1975) who observed that acetylene suppressed nitrogenase catalyzed H_2 production in A. vinelandii. Peters et al. (1977) demonstrated furthermore that the ratios of the partial pressures of N_2 and C_2H_2 control the amount of reductant used in H_2 production and N_2 fixation by nitrogenase. Obviously, the mechanism of CO and C_2H_2 action needs more study.

5.1.3.3 Iron

The presence of Fe^{3+} ions in the culture medium of nitrogen-starved A. cylindrica is essential for H_2 photoproduction (Jeffries et al., 1978). Hydrogen evolution was twice as high when 5 mg/l iron was present as compared to cultures containing 0.5 mg/l. The phenomenon was reportedly related to the iron requirement of nitrogenase and ferredoxin.

5.1.3.4 Oxygen

Although the observed sensitivity of nitrogenase activity to O_2 in heterocystous algae has not been consistent (Stewart and Pearson, 1970; Weare and Benemann, 1973), high O_2 concentrations definitely inhibit nitrogenase activity in nitrogen-starved cultures (Weissman and Benemann, 1977). Moreover, the O_2 resistance varies among cultures and they become more sensitive with time.

5.1.3.5 pH

Alkaline conditions are more conducive to prolonged H_2 evolution. Jeffries et al. (1978) reported that nitrogen-starved cultures evolved H_2 at the same initial rate whether the pH was 7.4 or 9.4. However, at pH 9.4 cultures continued to produce H_2 after CO_2 deprivation because the alkaline medium contained more dissolved CO_2 . This allowed the cells to continue scavenging CO_2 for as many as ten days after deprivation, and it demonstrated that continuous sparging with CO_2 was not necessary.

5.1.3.6 Temperature

Oshchepkov et al. (1973) reported a temperature dependence for dark H_2 evolution in A. variabilis using glucose as a substrate. Maximal activity

occurred at about 40°C. Benemann et al. (1978) observed a similar response for nitrogenase activity as measured by acetylene reduction (which should be directly related to H₂ photoevolution) in nitrogen-starved cultures of A. cylindrica and M. laminosus except the peak activities occurred at 35°C and 45°C, respectively.

5.1.3.7 Light

The incident light intensity as well as the concentration of the culture (high cell concentrations lead to self-shading) greatly influence the rate of H₂ production. Efimtsev et al. (1975) observed increases in H₂ photoevolution (A. variabilis) as the irradiance of 680 nm light was raised from <0.001 to about 0.5 mW/cm². Studies with nitrogen-starved cultures of A. cylindrica in the presence of DCMU have shown that "low" light levels (cool white fluorescent light, 1.8 mW/cm²) limit PSI activity in the heterocysts (and thus limit H₂ production) and that stored reductants can sustain H₂ evolution for a day or two at most (Weissman and Benemann, 1977). Both Weissman and Benemann (1977) and Jeffries et al. (1978) demonstrated that "high" light irradiance (respectively, heat filtered incandescent, 39 mW/cm²; "Naturescent" fluorescent light, 3.2 mW/cm²) stimulates H₂ evolution rates compared to lower intensities but decreases the time over which H₂ is produced. The latter authors, in fact, observed H₂ evolution for a period of 30 days at an average conversion efficiency of 0.35% when low irradiance light (incandescent, 0.6 mW/cm²) was used. Under these latter conditions filament breakage was not observed, and the authors concluded that N₂ deprivation itself could not account for the gradual decline in activity and filament breakage reported by Weissman and Benemann (1977). Perhaps the higher light intensity employed by Weissman and Benemann (1977) led to a photodegradative reaction. Jeffries et al. (1978) also observed that the ratio of H₂ evolution to acetylene reduction was greater at higher light intensity, indicating less uptake hydrogenase activity.

Solar illumination (~ 100 mW/cm²) in outdoor systems results in higher H₂ production rates but lower conversion efficiencies than artificial illumination in laboratory experiments (Benemann et al., 1977). The former is due to the much denser cultures which can be used outdoors and the latter to the low saturation intensity of photosynthesis relative to solar light levels and the fact that over half the solar spectrum is not photoactive.

There are references in the literature reporting the increased efficiency of photosynthesis, growth, etc. (Rabinowitch, 1956; Kuchkarov, 1973) on a per input energy basis when microorganisms are exposed to intermittent versus continuous light. This usually occurs when the continuous light is saturating. Jeffries et al. (1976) postulated that if the rate of ATP generation in the heterocyst exceeds the rate of reductant supply from the vegetative cells, intermittent light should increase the yield of H₂ production by allowing the reductant to diffuse into the heterocyst during the dark phase. Jeffries and Leach (1978), in fact, have observed such a phenomenon in both growing and nitrogen-starved A. cylindrica cultures. Using a 5-second-on, 5-second-off cycle, they reported 1.7- and 1.35-fold increases respectively.

5.1.4 Two-Stage Systems

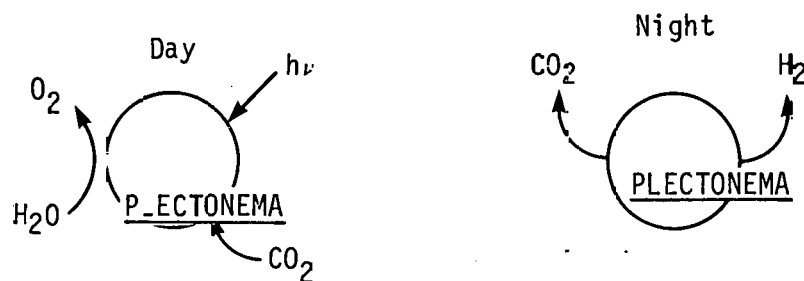
If biological systems are ever to be useful in economical hydrogen production schemes, a number of major problems must be addressed and surmounted. For example, biological O_2 and H_2 evolution are generally incompatible except in some cyanobacteria (see Sections 3.2.2.3 and 5.1.3), biological materials are inherently unstable, material costs may be limiting unless efficiencies are substantially improved (e.g., large tanks must be covered in order to collect H_2), and the H_2 gas produced must be separated from other gases including O_2 . Two-stage systems separate O_2 evolution and H_2 production spatially and thus don't require a covering above the photosynthetic stage. As a consequence, they hold promise for overcoming a number of the problems discussed here (Benemann, 1977). The inherent disadvantage of the two-stage approach is the requirement for pumping between the two stages, but perhaps a well-engineered system taking advantage of diffusion gradients might ultimately overcome this problem.

In any case, two types of two-stage systems have been proposed in the literature. The first combines certain characteristics of the single-stage systems discussed in the earlier parts of Section 5.0 and involves the temporal separation (see Figure 5-1A) of O_2 evolution (during the day) and hydrogen production (using stored reductants at night). Benemann and Weissman (1977) suggested the possible use of nonheterocystous, filamentous cyanobacteria, including Plectonema and Oscillatoria, which are known to display a temporal separation of photosynthetic O_2 evolution and N_2 fixation (Weare and Benemann, 1974; Benemann and Weissman, 1977). Organisms from both genera are known to evolve hydrogen (see Section 5.1.3). The use of green algae in a temporal H_2 production scheme has also been suggested (Benemann and Weissman, 1977). However, specific practical results with temporal H_2 -production systems have not been reported to date.

The second type of two-stage system involves the use of two different organisms. Krampitz (1977) demonstrated an example of such a scheme in which he used the cyanobacterium Anacystis nidulans*, an exogenous redox couple (NADP/NADPH), and a photosynthetic bacterium Rps. capsulata to generate hydrogen in a single vessel (see Figure 5-1B). The cyanobacteria split water (the ultimate source of electrons and hydrogen ions in this system) and photoreduce $NADP^+$ to NADPH. Unfortunately, the organism is not permeable to $NADP^+$ or NADPH so lyophilized A. nidulans were used. This, of course, severely limits the period of water-splitting activity. Since fresh Rps. capsulata cells are also impermeable to NADP and NADPH, both lyophilized and fresh intact cells were used. The lyophilized cells (which do not evolve H_2) reduce oxalacetate to malate using NADPH as a reductant. The intact cells (which are permeable to malate and oxalacetate) oxidize the malate to oxalacetate and evolve hydrogen. The differences in the redox midpoint potential of the oxalacetate/malate couple (-0.16 V) and the hydrogen

*A. nidulans has been identified both as Synechococcus elongatus and Synechococcus AN in the literature (Stanier et al., 1971; Stanier and Cohen-Bazire, 1977).

A. Temporal



B. Multiorganism

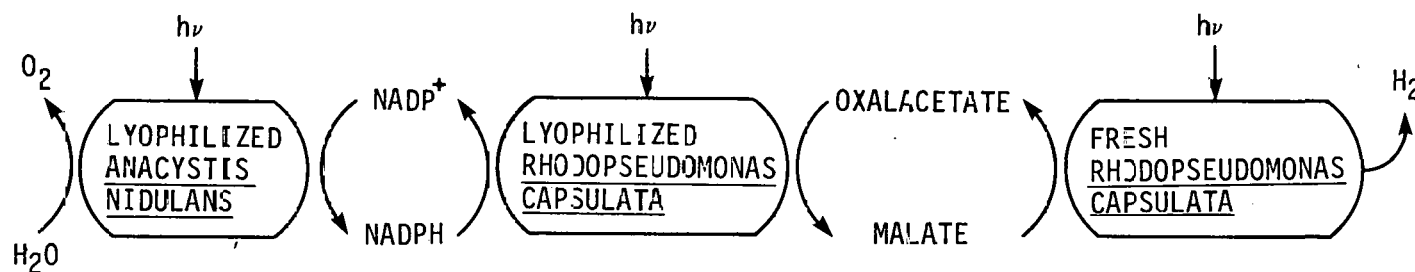


FIGURE 5-1. TWO-STAGE BIOLOGICAL H_2 PRODUCTION SYSTEMS USING WHOLE CELLS.

A. Temporal system in which O_2 and H_2 evolution occur at different times.

B. Multiorganism system in which O_2 and H_2 evolution are separated in space.

electrode (-0.42 V) is supplied by the light shining on the photosynthetic bacteria. Apparently, freshly isolated photosynthetic bacterial cells contain an O_2 scavenging system which protects the H_2 evolving function. In any case Krampitz reported an evolution rate of $2.5 \mu\text{moles} \cdot \text{ml}^{-1} \text{hr}^{-1}$ for 30 min.

Weetall and Krampitz (personal communication) have continued this work. However, instead of using a single vessel they immobilized A. nidulans and R. rubrum (instead of Rps. capsulata) in separate transparent reactor vessels. The system is interconnected and a solution containing NADP^+ is cycled between the two vessels. The solution also contains a glucose oxidase/dextrose oxygen-scavenging system and is flushed continuously with argon. When illuminated, the cyanobacteria (which again are lyophilized) reduce exogenous NADP^+ to NADPH. The photosynthetic bacteria then use the NADPH to evolve H_2 . As before, light shining on the R. rubrum supplies the difference in energy between the $\text{NADP}^+/\text{NADPH}$ couple (-0.32 V) and the hydrogen electrode. Conversion efficiencies as high as 0.38% were observed under incandescent light with no attempt to optimize the system.

Obviously, work in this area is just beginning but ideas abound. For example, Krampitz (1977) has proposed that cyanobacteria be modified genetically to produce formate. Formate is a much more stable intermediate than NADPH and in a practical system would be formed in the organism by reducing atmospheric CO_2 . The biological production of such an intermediate would have considerable advantage over an intermediate added exogenously (at considerable expense) as in the NADP^+ scheme described above. Photoproduced formate could then be stored for long periods and, when needed, converted to H_2 and CO_2 in the dark by E. coli.

5.2 IN VITRO SYSTEMS

5.2.1 Cell-free Photosynthetic Systems

Photoproduction of H_2 in cell-free systems requires the isolation of stable, photochemically active photosynthetic complexes (either in a native form or as a chemically modified derivative) that are capable of generating low potential reducing equivalents using water as a substrate. The isolated complexes or their derivatives are then coupled to a suitable hydrogen catalyst to produce H_2 . In principle, only those components essential for the photochemical oxidation of water together with a minimal number of redox carriers for efficient coupling to the hydrogen catalyst would be included. Thus, many of the complexities encountered in the intact cell approaches (due to the unavoidable interaction of the photosynthetic redox carriers and the hydrogenase/nitrogenase enzyme complexes with other intracellular redox systems) can be eliminated. For example, cell-free systems are independent of the energy-consuming metabolic processes of intact cells and should be capable of realizing overall theoretical solar conversion efficiency higher than the 5.8% calculated for intact plant cells (Bolton, 1977). In fact, it may be feasible to approach a conversion efficiency of 10%, the maximum theoretical value for cellular photosynthesis if dark respiration and photorespiration could be eliminated (Lien and San Pietro, 1975; Hall, 1976; Bolton, 1977; Radmer and Kok, 1977). In order to approach this high efficiency in a cell-free system, the capacity of the light-driven reactions must be carefully

matched to the overall capacity of the light-independent reactions, and the maximum quantum efficiency of the overall process must be maintained over the entire dynamic range of solar intensity.

One of the major advantages of cell-free H_2 production systems is that the different components used for constructing a functional system need not be derived from a single organism. This allows a greater degree of flexibility for optimizing the performance of the complete system. For example, the photosynthetic apparatus or its components could be obtained from different species of algae or higher plants while the hydrogenases might be extracted from algal or bacterial sources. Synthetic analogues might also be used. In any case, the source for each of the components could be chosen for optimal catalytic activity, stability, and ease of preparation.

5.2.1.1 Development of Hydrogen-producing Cell-free Photochemical Systems

As early as 1961, Arnon and co-workers demonstrated light-dependent production of H_2 in a cell-free system consisting of isolated spinach chloroplasts, ferredoxin, and Chromatium hydrogenase. Cysteine (coupled to the chloroplasts by the redox dye DPIP, dichlorophenol indophenol) was the source of reductant, and DCMU was added to inhibit O_2 -evolution. Yoch and Arnon (1970), using a similar cell-free coupled system, demonstrated that heat-treated chloroplasts could substitute for DCMU-poisoned chloroplasts. In this case, ascorbate-DPIP served as the source of electrons. It is important to emphasize that in these early experiments water was not the source of electrons because PSII activity of the chloroplast was intentionally inactivated. Therefore, only the electron transport components closely associated with the PSI complex were coupled to hydrogenase.

The first indication that the water-splitting activity of PSII could be linked to the photoproduction of hydrogen in a cell-free system (chloroplasts, methyl viologen, and E. coli hydrogenase) was reported by Krampitz (1972) at a workshop on biological energy conversion. Unfortunately, detailed descriptions of the rate and duration of active hydrogen production as well as information about O_2 evolution were not available. Shortly afterwards, Benemann et al. (1973) reported H_2 photoproduction in a cell-free system consisting of spinach chloroplasts, ferredoxin, and Clostridium kluyveri hydrogenase. No exogenous electron donors were employed. The addition of DCMU reduced the rate of H_2 production by 95%, suggesting that water was the source of reductant. Unfortunately, the authors did not demonstrate the simultaneous production of O_2 with H_2 . Nevertheless, the addition of glucose plus glucose oxidase, an O_2 scavenger system, stimulated considerably the rate of H_2 production. The average rate of H_2 production observed by Benemann et al. (1973) in the absence of an O_2 scavenger was 1 to 2 $\mu\text{moles of } H_2 \cdot \text{mg Chl}^{-1} \cdot \text{hr}^{-1}$ but H_2 evolution decreased dramatically after 15 min. Much higher rates (12.1 and 15.4 $\mu\text{moles } H_2 \cdot \text{mg Chl}^{-1} \cdot \text{hr}^{-1}$) were obtained in the presence of glucose/glucose oxidase and an ascorbate-DPIP electron donor system, respectively. However, the authors did not report any improvement in the duration of operation of their system by the addition of either O_2 scavengers or artificial electron donors. Apparently, the instability of PSII and hydrogenase activity limited the overall operating life of the system (Benemann et al., 1973).

5.2.1.2 Recent Improvements

Since the report by Benemann *et al.* (1973), several groups have explored in some detail the factors which affect the performance of the cell-free H_2 production systems. Despite this work, cell-free coupled systems are still far from achieving efficient H_2 production over a sufficient period of time to warrant practical application in a solar energy conversion scheme. However, some encouraging improvements have been reported since 1973 and are summarized in Table 5-2. In order to convey a realistic picture of the actual advances in this area of research, a conservative view has been taken. Only the average rates of H_2 production obtained by the investigators over the indicated operating periods are recorded, and the operating period is defined as the time during which a more or less constant rate of H_2 production was observed. Whenever possible the rates were obtained from primary data in the report cited. When such data were not available, the rate mentioned by the original authors is cited in parentheses and noted in the last column of the table.

Numerically, the rate of H_2 production in water-splitting, in vitro systems has been improved several-fold (from 14 to 94 $\mu\text{moles} \cdot \text{mg Chl}^{-1} \cdot \text{hr}^{-1}$) since 1973. In addition, the operating duration has increased from less than 15 min to about 6 hr. Although encouraging, these improvements represent only a very modest step toward the development of an efficient, practical cell-free system. Furthermore, the improvements were obtained only when an effective O_2 -scavenging system (glucose and glucose oxidase) and an H_2O_2 -trap (ethanol and catalase) were included in the reaction mixtures. From the point of view of energy (and cost), the inclusion of these trapping systems is self-defeating because each mole of O_2 trapped by the system (which theoretically would be equivalent to 2 moles of H_2 produced) is accompanied by the conversion of one mole of glucose into gluconate and H_2O_2 (Fry *et al.*, 1977). Similarly for each mole of H_2O_2 converted into H_2O , one mole of ethanol is oxidized to acetaldehyde (Fry *et al.*, 1977; Packer and Cullingford, 1978). Therefore, in the presence of trapping systems water-coupled H_2 production is actually an indirect coupling to the photooxidation of these organic substitutes. However, the real significance of these experiments lies in the fact that they firmly demonstrate the technical feasibility of in vitro coupling of the reducing power generated by the water-splitting reactions of PSII to hydrogenase. In addition, the results also focus on the fact that one of the most critical problems faced by the in vitro approaches is identical to that of the in vivo algal system; namely, inactivation of the H_2 catalyst by molecular O_2 . This problem will be discussed in greater detail in Sections 6.1 and 7.3.1.

Finally, both the numerical data of Table 5-2 and the critical but realistic remarks concerning the inclusion of O_2 and H_2O_2 traps in cell-free systems fail to highlight a very significant aspect of recent research; that is, various investigators are exploring a wide variety of source materials from which different components used in the construction of cell-free systems can be isolated. The photosynthetic membranes for generating reductants from water are no longer limited to spinach or pea chloroplasts (Reeves *et al.*, 1976; Rao *et al.*, 1978). In addition, a large number of hydrogenases from bacterial species and some algae are being explored. Even synthetic compounds mimicking the active site of the hydrogenase are being examined as potential

Table 5-2. LIGHT-INDUCED H_2 PRODUCTION IN CELL-FREE COUPLED SYSTEMS

References	Major Components	Electron Sources	Oxygen Trap ^a	Average Rates ($\mu\text{moles } H_2 \cdot \text{mg Chl}^{-1} \cdot \text{hr}^{-1}$)	Operating Duration (hr)	Comments
1. Benemann <i>et al.</i> (1973)	Cp + Fd + H_2 ase (<i>C. kluyveri</i>)	H_2O H_2O ASC + DPIP	- + -	1- 2 12- 14 15.4	<0.25 ? ?	Rate falls off to 25% after 15 min. Stability of the system not investigated with O_2 trap or donor system.
2. Ben-Amotz and Gibbs (1975)	Cell-free prep (<i>Chlamydomonas</i>) Cell-free prep (<i>Scenedesmus</i>) Cp + H_2 ase (<i>Chlamydomonas</i> , crude)	DTT or NADH DTT DTT	- - -	2 3.6 4.3	1-1.5 1 1	Rate falls off after 60 to 90 min.
3. Krasnovskii <i>et al.</i> (1975)	Chl (a + b) in 0.5% Triton + MV + H_2 ase (<i>T. roseopersicina</i>) Cp + MV + H_2 ase (<i>T. r.</i>)	NAEH NAEH	- -	11.5 8.2	? ?	The rate value of 11.5 was estimated from the absorbance reading of Chl (a + b) given by the authors.
4. Rao <i>et al.</i> (1976)	Cp + Fd + H_2 ase (<i>C. pasteur-</i> <i>ianum</i> ; <i>E. coli</i>) Cp (Fixed) + Fd + H_2 ase (<i>C. p.</i>)	H_2C H_2C H_2C	+ - +	9- 11.5 2.8 11.5	3-6 3 3	Cp (Fixed) represents chloroplasts fixed in 0.05% glutaraldehyde.
5. Reeves <i>et al.</i> (1976)	Cp + Fd + H_2 ase ^b	H_2C	+	20-(40)	3	The rate value of 40 is the maximum value given by authors. No primary data was presented.
6. Fry <i>et al.</i> (1977)	Cp + Fd + H_2 ase (<i>C. pasteur-</i> <i>ianum</i>)	H_2C	+	7- 13	2-6	
7. Hoffmann <i>et al.</i> (1977)	Cp + MV or Fd or Triquat + H_2 ase (<i>C. pasteurianum</i>)	DAD or TMPD	-	50-125	0.17	Stability of the system was not investigated.
8. Rao <i>et al.</i> (1978)	Cp + Fd + H_2 ase ^b Cp (<i>Chenopodium</i>) + Fd + H_2 ase (<i>C. pasteurianum</i>)	H_2C H_2C	+ +	30-(50) (94)	2 2	The rate values 50 and 94 were maximum values mentioned by authors. No primary data was presented.

Key for Abbreviations: ASC, ascorbate; Chl, chlorophyll; Cp, chloroplasts (when not specified, were from spinach or pea); DAD, diaminodurene; DPIP, dichlorophenol indophenol; DTT, dithiothreitol; Fd, ferredoxin; H_2 ase, hydrogenase; MV, methyl viologen; NADH, reduced form of nicotinamide adenine dinucleotide; TMPD, N-tetramethyl-p-phenylenediamine.

^aOxygen traps in most cases involve the use of a glucose plus glucose oxidase system to convert oxygen into H_2O_2 . In some cases, H_2O_2 produced by the glucose-glucose oxidase system was further converted by ethanol-catalase to the acetaldehyde and H_2O which are less reactive. Original references should be consulted for details.

^bHydrogenases from various sources were used giving the different rates of hydrogen production.

candidates for H_2 -evolving catalysts (Reeves et al., 1976). Similarly, in addition to spinach and clostridial ferredoxins, other low-potential iron-sulfur proteins from bacterial and algal sources, cytochromes, NADH, and synthetic redox carriers (such as viologen dyes) are being investigated with respect to stability and effectiveness as coupling agents in the transfer of reductants from the photosynthetic membrane system to hydrogenase (Rao et al., 1978). The future success of the in vitro approach will undoubtedly rest on a vigorous, long-term research commitment that takes advantage of the flexibility of the cell-free systems.

5.2.2 Cell-free Purple Membrane Systems

The halophilic bacterium Halobacterium halobium, found in natural salt lakes and salterns, has attracted a great deal of interest over the past few years (Schreckenback, 1978). It contains a membrane-bound, retinal-containing protein call bacteriorhodopsin (the so-called "purple membrane") which acts as a proton pump and establishes a proton gradient across the cell membrane of the organism. The energy for proton transport is supplied by light absorbed in the chromophore of the bacteriorhodopsin molecule. Although the organism contains a respiratory chain, evolution of this independent bioenergetic system apparently allowed it to survive at high salt concentrations where respiration is inhibited due to the low solubility of oxygen. Under such conditions, the bacterium uses the bacteriorhodopsin photosystem to store energy in a two-step process. Protons ejected out of the organism by light build up a transmembrane potential which, in turn, drives the protons back into the organism. The returning protons are coupled to an ATPase that synthesizes ATP used by the bacterium as an energy source for ion transport and cellular metabolism.

It has been suggested that the purple membrane isolated from H. halobium might be used in a H_2 -producing photobiological system because it is stable to both physical and biological degradation, is easy to prepare, and large amounts of membrane are obtainable (Schlegel and Barnes, 1977). However, to the authors' knowledge no one has demonstrated purple membrane-coupled hydrogen evolution, probably because the photo-induced proton gradient would have to be coupled to a redox reaction. On the other hand, a number of reports have appeared in which purple membrane systems have been used to generate photopotentials and photocurrents (Skulachev, 1976; Shieh and Packer, 1976; Eisenback et al., 1977; Packer et al., 1977a).

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SECTION 6.0

TECHNICAL PROBLEMS IN PHOTOBIOLOGICAL HYDROGEN PRODUCTION

Although certain photobiological H_2 production schemes, including those employing intact photosynthetic bacteria and cyanobacteria, appear promising at the moment, there are many problems to be surmounted before the technical feasibility of photobiological solar energy conversion can be demonstrated.

6.1 OXYGEN SENSITIVITY

One of the more difficult problems in considering photobiological H_2 production as a feasible solar conversion process is the (in most instances) extreme O_2 -sensitivity of H_2 -evolving enzymes both in vivo and in vitro. As mentioned earlier (Sections 3 and 5), whenever water is the ultimate source of reductant for H_2 production, molecular O_2 is liberated as an obligatory byproduct and in most cases strongly inhibits the rate of H_2 production. The primary cause of the inhibition is the susceptibility of both hydrogenase and nitrogenase to O_2 . In vivo hydrogenase O_2 -sensitivity was first illustrated by the anaerobic adaptation and aerobic deadadaptation processes observed in the H_2 metabolism of green algae (Gaffron and Rubin, 1942; Frenkel, 1952). (The adaptation process probably involves a reductive activation of existing inactive hydrogenase during short periods of anaerobic incubation and is followed by the adaptive formation of additional enzyme upon prolonged anaerobiosis.) Deadadaptation of H_2 production activity in anaerobic algae occurs within a few minutes after exposure to low concentrations of O_2 (about 0.4%; McBride et al., 1977). In the case of C. reinhardtii, hydrogenase appears to be the primary site of inactivation during the aerobic deadadaptation process because, along with the loss of H_2 -evolving activity, the rate of the oxy-hydrogen reaction is also severely inhibited. Furthermore, deadadapted cells retain full capacity for respiration and photosynthetic O_2 -evolution (McBride et al., 1977). In the in vitro case, hydrogenases from algal and bacterial sources are inactivated by both reversible and irreversible reactions (Abeles, 1964; Nakos and Mortenson, 1971; Mortenson and Chen, 1974). Bacterial and cyanobacterial nitrogenases are even more sensitive to O_2 than hydrogenases and, of course, organisms which evolve H_2 from nitrogenase activity lose the ability if the enzyme is inactivated (see Sections 3 and 5). In cyanobacteria O_2 -inactivated nitrogenase cannot be reactivated and is subsequently degraded by the action of proteases (Haselkorn, 1978).

In addition to the direct inhibition of hydrogenase and nitrogenase, O_2 also interferes with photobiological H_2 production through a number of secondary reactions (see also Section 5.1.2) including (1) competition with hydrogenase or nitrogenase for low potential reducing equivalents at the reducing side of PSI, i.e., auto-oxidation of the reduced form of primary electron acceptor and ferredoxin (Heber and French, 1968; Asada et al., 1974; Lien and San Pietro, 1978a); (2) inactivation of ferredoxin and other iron-sulfur proteins (Petering et al., 1971; Hall and Rao, 1977); and (3) the reaction of O_2 with enzymatically produced H_2 (the oxy-hydrogen reaction).

The situation is not hopeless, however, since heterocystous cyanobacteria do evolve H_2 in the presence of O_2 (although the rate is higher in its absence). This fact makes these organisms quite attractive in energy conversion applications, as shown in Section 5.1.3. In addition, there has been some progress in selecting for O_2 -tolerant mutants of green algae (McBride et al., 1977). Naturally stable hydrogenase enzymes are also available from nonphotosynthetic sources (Section 3.1.2.4). Klibanov et al. (1978) have reported some impressive improvements in the stabilization of highly O_2 -labile C. pasteurianum hydrogenase by exploiting the "salting out" effect of O_2 from the local microenvironment of the enzyme (see Section 7.3.1).

6.2 STABILITY

6.2.1 Whole-cell Cultures

Intact photosynthetic cells offer much potential for use in photoconversion systems. No major technological breakthrough is needed to advance these systems, and expenses would be minimal. Using mixed cultures of photosynthetic organisms, it is possible to capture all wavelengths of radiant energy from 350 nm to 1050 nm. However, the population stability of such mixtures has not been tested. Photosynthetic bacteria (Guest, 1974; Wall et al., 1975a), cyanobacteria, and green algae (Porter, 1977) all produce bacteriocin-like toxins that may alter the ratio of the organisms within mixed cultures. In applications where cell growth does not occur, the effect of these toxins may not be critical. Likewise, the effect of invading lytic viruses may be negligible under nongrowing conditions. Imposed genetic selection procedures could also decrease these mutual intolerances. Prolonged maintenance of cultures in near stationary phase conditions is an unexplored area in need of further research.

As previously described, photosynthetic bacteria can photoconvert reduced carbonaceous substrates into H_2 (plus CO_2). Some form of waste biomass material is the logical substrate choice since it is readily available and inexpensive. Additionally, most forms of biomass are naturally low in combined nitrogen, which would facilitate the derepression of nitrogenase. Unfortunately, little control can be maintained over the introduction of foreign microorganisms indigenous to added waste material short of heat sterilizing, which is expensive, or adding anti-microbial chemicals, which is unwise. However, the replication of contaminating organisms can be inhibited by manipulating environmental conditions (e.g., controlling dissolved O_2 , limiting C,P, or combined N). Use of fully-grown stationary phase photosynthetic cultures should prevent the massive development of nonphotosynthetic organisms. Periodic flushing and reinoculation of holding tanks would reestablish the initial photosynthetic population as needed. In this regard, certain strains of photosynthetic microbes readily aggregate, making them easy to harvest. Secondary use of this harvested material as a protein supplement or in high-nitrogen "green manure" applications is desirable economically and in fact has been done (Kobayashi and Tchan, 1973), though the presence of pathogens and concentrated toxic materials must be closely monitored (Katzenelson et al., 1976).

An applied system using intact cells for the photoproduction of H_2 would more than likely employ mutants with altered electron transport reactions (Section 7.2). Deletion mutations are totally stable in the absence of genetic exchange from wild-type donors; hence culture stability would not present a problem. However, a temperature-sensitive mutant is by nature unstable and, when put into nonpermissive conditions, wild-type revertants will grow and readily take over the culture. For those organisms whose metabolism will not permit deletion mutations, functionally stable temperature-sensitive mutant populations can be achieved by maintaining them in fully-grown or growth-limited cultures where revertants cannot replicate. Alternatively, the creation of multiple temperature-sensitive mutations within the same cell would exponentially decrease the frequency of reversion to wild type, causing the mutant and thus the culture to remain stable.

6.2.2 Cell-free Systems

Due to the self-regenerative nature of living systems, the photosynthetic apparatus of intact organisms is stable within the normal physiological temperature range. On the other hand, isolated chloroplast membrane preparations and other enzyme systems (including hydrogenases) lose activity rather rapidly at all temperatures above freezing. Thus, cell-free H_2 production systems will require the preparation of stable components capable of withstanding numerous adverse environmental conditions, including severe temperature fluctuations, high light intensity, bacterial attack, and chemical degradation for long periods of time. The maintenance of both the catalytic activity of hydrogenase and the overall rate of electron transfer from water to the primary acceptor of PSI in isolated chloroplast membranes will be of major concern in the cell-free systems. The underlying mechanisms governing the structural and functional integrity of these components are treated in this section.

6.2.2.1 Enzymes

Excluding the possibility of synthetic complexes yet to be developed, the ATP-independent hydrogenases from algal and bacterial sources appear to be the best available H_2 catalysts capable of interacting effectively with the redox systems of photosynthesis. Although nitrogenases can also catalyze the evolution of H_2 gas, they are dependent on additional energy input in the form of ATP and thus are of limited value in cell-free H_2 production systems. Therefore, only the stability problems associated with the ATP-independent hydrogenases are considered here.

Hydrogenases are readily inactivated by extreme values of pH (Nakos and Mortenson, 1971; Ben Amotz *et al.*, 1975), and, at acidic pH, inactivation probably involves the loss of the iron-sulfur cluster (Mortenson and Chen, 1974). As mentioned in earlier sections (3.1.1 and 6.1), hydrogenases are highly sensitive to O_2 at concentrations greater than 0.4% (McBride *et al.*, 1977). Furthermore, like most proteins isolated from biological sources, hydrogenase is susceptible to hydrolytic degradation by microorganisms in non-sterile environments. Practical cell-free H_2 -evolving systems must incorporate the means to protect hydrogenase from these and other degradative forces; the current approach is to immobilize the enzyme (Section 6.3).

6.2.2.2 Electron Transport Components

The stability problems associated with in vitro photosynthetic membranes are quite serious. For example, isolated chloroplast membranes function (split water) for only a few hours at most. Photochemical activity of the photosynthetic electron transport system is affected by many physical and chemical parameters which can be classified either as dark thermal inactivation or photochemical degradation.

6.2.2.2.1 Dark Thermal Inactivation. Dark thermal inactivation of photosynthetic electron transport activity in vitro involves the loss (or denaturation) of the soluble, loosely bound cofactors and redox carriers as well as the dissolution of the highly organized structural arrangement associated with electron transport. For example, ferredoxin (and thus electrons from PSI) is lost under most chloroplast preparation procedures (Buchanan and Arnon, 1971). Storage of chloroplasts in hypotonic media results in the partial loss of plastocyanin (Kato and Takamiya, 1963). Mechanical disruption (sonication) of chloroplasts causes rapid and extensive solubilization of plastocyanin (Kato and San Pietro, 1966; Lien and Bannister, 1971). Removal of plastocyanin, of course, leads to cessation of electron transfer between PSII and PSI. Under proper conditions the addition of catalytic amounts of plastocyanin to depleted chloroplast preparations restores the flow of electrons to PSI (Kato and San Pietro, 1966; Lien and San Pietro, 1978b). Fortunately, the other known redox carriers linking the two photosystems appear to be tightly associated with the membranes, and disruption requires drastic procedures employing organic solvents or other membrane degrading agents (Bendall et al., 1971; Barr and Crane, 1971). Under normal laboratory conditions the loss or inactivation of these membrane-bound components is probably not the main cause of the decay in chloroplast electron transport activity. More likely, inactivation is associated with the dissolution of the membrane structure per se.

Other readily extractable cofactors and components are specifically involved in PSII-dependent phenomena. A chloride requirement for the Hill reaction in isolated chloroplasts was recognized some years ago. The site of chloride action has recently been localized on the oxidizing side of PSII (Hind et al., 1969; Izawa et al., 1969). The exact mechanism of chloride action is not known, but the effect of chloride depletion can be reversed readily by its addition to the medium. Manganese is also involved in PSII activity (Diner and Joliot, 1977; Radmer and Chéniaie, 1977). Well-washed chloroplast preparations from photosynthetically competent plant and algal sources contained approximately 5-8 atoms of manganese per 400 chlorophyll molecules (Radmer and Chéniaie, 1977). These "bound" Mn atoms are neither readily released upon washing with metal-complexing agents nor do they exchange readily in the dark with Mn^{++} or MnO_4^- . A variety of treatments, including mild thermal shock (50°C for a few minutes), washing with Tris at alkaline pH, washing with chaotropic agents, and extracting with hydroxylamine are known to inactivate the oxidizing side of PSII preferentially. These treatments also result in various degrees of Mn depletion, and in some cases it is possible to reactivate the O_2 -evolving capacity of the treated preparation (for a review see Radmer and Chéniaie, 1977). These studies revealed that:

- The residual O_2 -evolving activity is not related linearly to the amount of Mn retained by the chloroplasts (Yamashita et al., 1971; Yamashita and Tomita, 1974);
- As much as one-third of the Mn in chloroplasts is not essential for the water-splitting reaction. Chloroplasts inactivated by standard Tris-washing can regain O_2 -evolving activity at a rate approaching that of control chloroplasts after dark incubation with reduced DPIP, although up to one-third of the total Mn is lost in the process (Yamashita et al., 1971; Blankenship et al., 1975);
- The rest of the chloroplast Mn (4-5 Mn/400 Chl), which appears to be essential for the oxygen evolution, can exist in EPR-detectable and EPR-nondetectable forms. Conversion of the EPR-nondetectable form into the EPR-detectable form is associated with the loss of activity. Upon reactivation by the described procedure, Mn returns to the EPR nondetectable (and probably more tightly bound) form (Blankenship et al., 1975); and
- More exhaustive extraction of Mn by Tris/acetone treatment results in a preparation which cannot be reactivated by incubation with reduced DPIP. Under these conditions, light and externally added Mn^{++} , together with other agents such as Ca^{++} , dithiothreitol, and bovine serum albumin (BSA) are required for effective reactivation of oxygen evolution (Yamashita and Tomita, 1974).

On the basis of these in vitro Mn extraction and reinsertion experiments, Blankenship et al. (1975) concluded that "the incorporation of Mn^{++} is a two-step process; a light-driven transport of Mn into the thylakoid and a dark binding to the site responsible for activity." A detailed elucidation of the mode of incorporation and catalytic reactions associated with the manganese-proteins of PSII would contribute not only to the solution of the stability problem of PSII but also to the eventual synthesis of an artificial water-splitting catalyst.

Another important aspect of the stability of in vitro electron transport is directly related to the structural integrity of the thylakoid membrane (Gressel and Avron, 1965). Certain physicochemical parameters, including temperature, pH, and ionic strength, directly influence the thermodynamic equilibrium associated with the structural organization of chloroplast membranes. The effect of these parameters may also be indirect through the modulation of the catalytic activity and substrate accessibility of hydrolytic enzymes present in or contaminating the chloroplast preparation. Since proteins and lipids are the two major constituents of these membrane systems, the consequences of proteolytic and lipolytic activity on photochemical activity has been a subject of considerable interest and investigation.

Limited digestion of chloroplasts with trypsin preferentially inactivates the segment of the electron transport chain located on the oxidizing side of PSII (see the review by Giaquinta and Dilley, 1977). In contrast, PSI-dependent reactions such as the photoreduction of methyl viologen using ascorbate-DPIP as electron donor are only slightly affected (Mantai, 1970; Selman and Bannister, 1971). Extensive treatment of chloroplasts with pronase, a non-

specific protease from Streptomyces griseus, results in a 60% loss of total chloroplast protein, a blue spectral shift of the red chlorophyll absorption band, and total inactivation of the DPIP-mediated Hill reaction (Bamberger and Park, 1966). Prior to the complete loss of Hill activity during the early stage of pronase digestion, the quantum efficiency of the reaction decreases dramatically and becomes increasingly dependent on light intensity. These data suggest an impairment of energy transfer from the light-harvesting chlorophyll to the reaction center of PSII and/or inactivation of the reaction center itself. Furthermore, the authors noted a pronounced change in the ORD spectrum in the 700 nm region as well as some loss of chlorophyll absorbance between 690 and 720 nm. Unfortunately, they did not report the effect, if any, of pronase treatment on PSI-dependent partial reactions.

The effects of exogenous and endogenous lipases on the photochemical activity of chloroplast preparations have been studied widely (McCarty and Jagendorf, 1965; Bamberger and Park, 1966; Helmsing, 1967; Winternans et al., 1969; Okayama et al., 1971; Heise and Jacobi, 1973; Anderson et al., 1974). These studies, together with the reported effects of free fatty acids on the photochemical activity of chloroplasts (Kroghmann and Jagendorf, 1959; Cohen et al., 1969; Seigenthaler, 1972; Seigenthaler and Havakova, 1975; Seigenthaler and Rawley, 1977), allow the following general statements about the destabilizing effects of lipolytic enzymes:

- Digestion of chloroplasts with lipases results in the transformation of membrane lipids and the release of free fatty acids. There are also changes in light-scattering properties and alterations in the membrane features of the thylakoids;
- Free fatty acids, especially long-chain, unsaturated ones such as linolenic and linoleic acid, are potent uncouplers of photo-phosphorylation and powerful inhibitors of PSII-dependent activity (Kroghmann and Jagendorf, 1959; McCarty and Jagendorf, 1965; Cohen et al., 1969; Okayama et al., 1971; Okamoto and Katoh, 1977); and
- The thermal destabilization of in vitro electron transport during long storage of isolated chloroplasts in the dark can be correlated with lipid transformation and liberation of free fatty acids catalyzed by endogenous or contaminating lipolytic enzymes (McCarty and Jagendorf, 1965; Winternans et al., 1969; Heise and Jacobi, 1973; Seigenthaler and Rawley, 1977).

It is not yet clear whether the degradative action of the lipolytic enzymes on electron transport is due exclusively to their attack on the structural integrity of membrane systems or to secondary processes involving the inhibitory action of free fatty acids released by these enzymes. Most likely, the actual mode of action is dependent on the particular photochemical reaction affected and also, to some extent, the type of lipase and the degree of digestion. Thus, it is interesting to note that upon treating subchloroplast particles with a partially purified galactolipase isolated from bean leaf chloroplasts, the rates of both PSI- and PSII-dependent electron flow at saturating light intensity were not significantly affected if BSA (a free fatty acid scavenger) was included in the incubation medium. Under the same conditions nearly all the galactolipids associated with the subchlor-

oplast particles were hydrolyzed (Anderson et al., 1974). On the other hand, incubation of chloroplasts with a crude lipase preparation (a protein fraction of Ricinus leaf extract) resulted in the loss of Hill activity even when as much as 30 mg/ml of BSA was included (Cohen et al., 1969).

6.2.2.2.2 Photochemical Degradation. Like most photoactive devices, the photosynthetic apparatus may be damaged when photons are absorbed at a rate much higher than that which can be utilized by the apparatus or dissipated by the system. Myers and Burr (1940) carried out an extensive study on this phenomenon known in photosynthetic research as "photoinhibition." Using Chlorella, these authors found that the extent of O_2 evolution is a function of both visible light intensity and duration of exposure. Under strong illumination, normal photosynthetic O_2 evolution was replaced by net O_2 uptake at rates two to three times higher than that of normal dark respiration. This phenomenon is frequently referred to as "photo-oxidation" and is stimulated both by carbon dioxide starvation and by a high partial pressure of oxygen (Franck and French, 1941). In whole cells, photooxidation can proceed for a limited time at a constant rate without inflicting irreversible injury. However, sustained intense illumination causes a decrease in the rate of photooxidation and permanent damage to the photosynthetic apparatus. This irreversible photoinhibition is frequently accompanied by bleaching of the photosynthetic pigments (Myers and Burr, 1940; Franck and French, 1941).

Similarly, kinetic analysis of photoinhibition by Kok (1956) using a "microsample" of Chlorella suspension, as well as the measurement of the time course of pigment bleaching by Sironval and Kandler (1958), led to the conclusion that the initial stage of photochemical damage is associated with the visible light-induced inactivation of the trapping or reaction centers. They also demonstrated that photooxidative bleaching of pigment molecules occurs during the latter stage of damage. Nevertheless, there are several mechanisms that protect against in vivo photoinhibition, including: (1) the dissipation of excitation energy by normal photosynthetic electron flow and, perhaps under some circumstances, by cyclic electron flow (Arnon, 1977); (2) protection by intracellular reductants and carotenoids (Heath and Packer, 1968a; Ridley, 1977); and (3) other in vivo repair reaction(s) of unknown mechanism (Myers and Burr, 1940).

Since the extent of the contribution by these in vivo mechanisms is uncertain, a quantitative analysis of photoinhibition is greatly hindered. However, the complications associated with most of the above mentioned processes can be eliminated to a great extent by in vitro experiments using isolated chloroplast preparations. Such studies (Kok et al., 1965; Jones and Kok, 1966a and 1966b; Malkin and Jones, 1968), have shown that:

- The same pigments (chlorophylls and carotenoids) that sensitize photosynthesis are also responsible for photoinhibition;
- Photoinhibition involves inactivation of both PSII- and PSI-dependent reactions. Inactivation of PSI-dependent activity is accompanied by loss of light-induced bleaching of P700. When the response of PSII to photoinhibitory illumination was studied, a linear relation between the decay of O_2 evolution activity and the loss of variable fluorescence was observed. The damage to PSI is probably located

very close to the trapping center. In contrast, the locus (or loci) of photoinhibition in PSII with respect to the trapping center, the oxidizing side (i.e., the water-splitting enzyme), or the reducing side of the trap is not clearly established. In view of the extremely labile nature of the water-splitting enzyme system, its inactivation or dissociation from the trapping center might be among the early events induced by photoinhibitory irradiation;

- In the absence of active electron transport, such as observed in isolated chloroplasts when exogenous electron acceptors are not present, the irreversible decline of O_2 -evolving activity as a function of exposure time to inactivating illumination can be expressed as:

$$R_t = R_o e^{-\phi \alpha I t}$$

where R_o is the initial rate; R_t is the rate after exposure time t ; ϕ is the quantum yield of photoinhibition; α is the fractional absorption of incident light; and I is the intensity of incident illumination. Thus, the photoinhibition process affecting the evolution activity of isolated chloroplasts appears to be dependent on the total number of photons received (by a functional photosynthetic unit) rather than the rate at which photons are received;

- As in the in vivo case, loss of photochemical activity precedes the massive destruction of pigment molecules. However, due to the lack of protective and repair mechanisms, the photoinhibitory action in vitro is more rapid and severe than that observed in vivo.

The exact nature and mechanism of reactions leading to photochemical degradation of the photosynthetic apparatus is yet to be clarified. In this respect, the experiments of Heath and Packer (1965; 1968a and 1968b) on the light-induced peroxidation of lipids and chlorophyll in isolated chloroplasts should be noted. These authors reported that:

- The cyclic peroxidative reactions of isolated chloroplasts are initiated by light absorbed by chlorophyll;
- Photoperoxidation probably involves chlorophyll-sensitized production of free radicals and dark peroxidative destruction of tri-unsaturated fatty acids and chlorophyll accompanied by the uptake of oxygen and the release of malondialdehyde;
- The presence of electron acceptors and cyclic electron flow cofactors, such as ferricyanide, DPII, phenazine methosulfate, etc., inhibits the photoperoxidative reactions probably via a more efficient dissipation of the absorbed quanta through electron transport reactions; and
- Antioxidants (butylated hydroxytoluene, sodium ascorbate, etc.), as well as an active water-splitting PSII system, tend to stabilize the chloroplasts against photoperoxidation. These characteristics of the cyclic photoperoxidative reactions in isolated chloroplasts indicate

that they are intimately related to and involved in (at least) the terminal destructive phase of photochemical degradation in photosynthetic membranes.

Another likely mechanism of photoinhibition is the generation of the superoxide anion (O_2^-) by chloroplasts which, in turn, can be oxidized by the electron transport components to yield singlet O_2 (Takahama and Nishimura, 1976). Singlet O_2 is highly reactive and can attack membrane lipids and initiate cyclic peroxidation reactions (Halliwell, 1978). On the other hand, Morris *et al.* (1978) failed to demonstrate a protective effect of superoxide dismutase. This result may indicate that either superoxide dismutase cannot reach the site of free-radical formation or that photoinhibition does not involve free-radical (superoxide) damage.

Similar phenomena are observed in photosynthetic bacteria although at higher light intensities, since the size of the photosynthetic unit is smaller in these organisms than in algae. In any case, the mechanism of photoinhibition requires further study so that effective means can be devised for countering the undesirable effects of high photon fluxes.

6.3 COUPLING

Efficient utilization of the reducing equivalents generated by the photosynthetic apparatus for H_2 production requires that the light-driven redox reactions be coupled tightly to hydrogenase or nitrogenase. In whole cells, the efficiency of coupling depends on both the amount of active hydrogenase or nitrogenase present in the organism and the control mechanisms governing the flow of the reductants to the various reductive metabolic pathways (see Section 3.3). Thus, H_2 production might be increased by genetically derepressing the biosynthesis of hydrogenase (in algae) or nitrogenase (in photosynthetic bacteria and cyanobacteria; Weare, 1978) and by suppressing the synthesis or action of the H_2 -uptake enzymes. The system might be further improved by using chemical inhibitors or genetically manipulating cellular metabolism to suppress all other competing reductant-utilizing processes except H_2 production.

In the cell-free approach where the isolated photosynthetic apparatus is combined with hydrogenase preparations, the efficiency of coupling depends not only on the ratio of hydrogenase (nitrogenase would not be useful in a cell-free system; Section 5.3.1) to photochemically active chloroplast membrane but also on the specific reactivities of the components chosen for use in the reconstituted system. The reactivity between the photochemical apparatus and hydrogenase depends to a great extent on the type of redox mediator used to link the two systems; the mediator is necessary because hydrogenase by itself does not react directly with the membrane-bound low potential PSI electron acceptors (Benemann *et al.*, 1973; Reeves *et al.*, 1976). Methyl viologen or ferredoxin are commonly employed as redox-mediators in various cell-free H_2 -production systems (Benemann *et al.*, 1973; Krasnovskii *et al.*, 1975; Rao *et al.*, 1976; Fry *et al.*, 1977; Hoffmann *et al.*, 1977). Using spinach chloroplasts and *C. pasteurianum* hydrogenase, Rao *et al.* (1978) reported active H_2 photoproduction in the presence of *Spirulina maxima* ferredoxin but little evolution in the presence of *D. chloropseudomonas* ferredoxin.

Furthermore, they found that H_2 production was extremely sluggish in a system consisting of spinach chloroplasts plus hydrogenase and ferredoxin from D. chloropseudomonas unless cytochrome c₃ from the same bacterial source was also included. These findings illustrate the complexity of redox-coupling in cell-free systems.

Another very important but largely unexplored aspect of redox-coupling in cell-free systems is the physical means by which the components are assembled. All cell-free H_2 production systems reported to date are liquid systems, and thus the overall rate of H_2 production is governed by random diffusion contact of the components. A much higher rate of H_2 production might be obtained if a well-organized arrangement of photosynthetic electron transport carriers, redox mediator(s), and hydrogenase were located on a suitable solid support. Candidates for such supports might be artificial membranes, the surface of colloidal particles, or the micropore matrix of various porous materials where the reliance on diffusion interaction would be minimized. To this end, the tendency of biological macromolecules (e.g., hydrogenase) to form highly specific and stable complexes should be further explored.

6.4 ENVIRONMENTAL IMPACT

For the most part, solar energy conversion technologies are rather benign with respect to environmental effects and competition for land. Biological H_2 photoproduction schemes are no exception. Both in vivo and in vitro systems are applicable in either distributed or centralized systems and could be placed on marginal or nonarable land. Neither would necessarily compete for prime farm or residential land. Waste materials at least in the case of in vivo systems, could be used as fertilizer. Small H_2 production devices could conceivably be placed on roof tops of large industrial buildings. Marine systems (employing marine photosynthetic bacteria or cyanobacteria, for example) would avoid the problem of land costs altogether, and would provide cooling, agitation, and a source for most nutrients (assuming that the logistical problems surrounding such a venture could be overcome).

Safety and efficiency considerations in handling and transporting H_2 produced are probably the most serious problems at the present time.

SECTION 7.0

PROSPECTS FOR ENHANCED PHOTOPRODUCTION OF HYDROGEN

Previous sections have reviewed the types of photobiological H_2 production systems currently under investigation and the technical problems in their use. This section discusses a number of approaches to some of the problems.

7.1 ISOLATION OF NATURALLY ADEPT STRAINS

It is apparent from Tables 3-3 and 5-1 that different species and isolates of photosynthetic organisms exhibit different levels of hydrogenase and nitrogenase activities. Thus either or both enzyme complexes can limit H_2 metabolism. Obviously, it would be desirable to isolate strains having even greater enzyme activities. The availability of such high-producing strains would enhance photoconversion processes in whole-cell applications as well as facilitate the isolation and increase the yield of pertinent proteins for use in coupled cell-free systems.

Of the myriad inhabitants of natural water and soil samples a unique wild type strain with a particular quality can often be isolated selectively if that quality confers a growth or survival advantage. The presence of nitrogenase and hydrogenase certainly confers advantages to a host, though these particular survival properties are not utilized routinely in isolation of photosynthetic microorganisms. However, the BSW8 strain of Rps. sulfidophila (Table 3-3) was selected for its capacity for rapid autotrophic growth on H_2 (it dominates H_2 autotrophic enrichment cultures even when incubated aerobically in the dark). The very high level of hydrogenase activity in this strain demonstrates that wild-type strains can be isolated that exhibit considerably higher activities than those found in an average population. Similar selections for high nitrogenase activity may prove to be equally productive. Likewise, selection for resistance to O_2 , pH, extreme high temperature, salinity, etc., can also be attempted using samples from appropriate environments. These sources may well prove to contain organisms having more suitable properties for solar energy conversion.

7.2 GENETIC ENGINEERING

The normal function of photosynthetic organisms, as well as most other life forms, is to capture as much energy as possible and to convert it rapidly and efficiently into stored chemical energy in the form of more cellular constituents. This process can in theory be circumvented--though obviously to the detriment of the host--by specific genetic manipulation that would force the major portion of photosynthetic metabolism exclusively into the photo-production of H_2 . Mutational blocks at appropriate points in the electron transport chain can be created to direct photosynthetic electron flow exclusively onto low potential carriers where the reducing power can be used by nitrogenase or hydrogenase. The potential advantage of using such mutants for solar energy conversion is obvious.

The feasibility of this concept depends on the mutant cells having an alternative mechanism for growth. Obligate phototrophs such as algae have no alternate mode of growth and would probably require the use of temperature-sensitive mutations. Most photosynthetic bacteria, on the other hand, have many alternate modes of growth. Besides photosynthesis and aerobic respiration, many isolates can derive energy from fermentation (Uffen and Wolfe, 1970) and anaerobic respiration (Sato et al., 1974 and 1976; Yen and Marrs, 1977; Madigan and Gest, 1978). Thus, strains with stable deletion mutations in specific photosynthetic electron transport carriers can survive and replicate under other environmental conditions.

The isolation of mutants constitutive for biosynthesis of nitrogenase, hydrogenase, and reaction center proteins would also be of value in both in vivo and in vitro applications. A mutant strain of K. pneumoniae functionally derepressed in nitrogenase activity has been created by Shanmugam and Valentine (1975) by inhibiting the two major enzymes of ammonia assimilation, glutamate dehydrogenase and glutamine synthase. The former enzyme has not been detected in R. rubrum (Weare and Shanmugam, 1976), Rps. capsulata (Johannson and Gest, 1976), or Anabaena (Stewart and Rowell, 1975), which leaves glutamate synthase as the dominant ammonia assimilatory pathway in these organisms. A glutamate auxotroph of R. rubrum has been isolated that exhibits lowered glutamate synthase activity (Weare, 1978). It is characterized by a partial derepression of nitrogenase even in the presence of excess ammonium ion. The strain exhibits nearly twice the H_2 evolution rate of its conventionally derepressed wild-type parent; additionally, it is about tenfold less sensitive to ammonia inhibition than the wild-type. The mutant enzyme appears to be somewhat "leaky," but the fact that the strain exists increases the prospects for obtaining fully constitutive mutants of nitrogenase. Strains of R. rubrum that conditionally synthesize up to eight times the normal level of reaction centers have also been isolated (Weaver, 1975). Of potential benefit to in vitro work as well, these strains are also genetically void of carotenoids and light-harvesting bacteriochlorophyll.

Mutant strain construction in Rps. capsulata has been simplified by the discovery of a "gene transfer agent" by Marrs (1974). This novel genetic vector is common amongst isolates of this species, but no similar system has so far been detected in any other species of photosynthetic bacteria (Wall et al., 1975a). Some initial successes with a transducing bacteriophage for Rps. sphaeroides, discussed by Marrs (1978), offer considerable promise for expanding the methods and organisms available for genetic engineering. Conjugative transfer of chromosomal genes of Rps. sphaeroides and Rps. gelatinosa has been achieved by Sistrom (1977) using a promiscuous sex factor. This work may permit further genetic transfer between widely divergent gram-negative bacteria.

Finally, with applications of recombinant DNA techniques to photosynthetic organisms, selected genes can be introduced from foreign sources, or native proteins can be amplified to greatly elevated levels. The introduction of cellulases, lignases, and agarases into photosynthetic bacteria would give their hosts the ability to photoconvert most biomass into H_2 , or the insertion of an O_2 -stable bacterial hydrogenase into an alga might permit prolonged rates of H_2 production from water.

7.3 BIOENGINEERING

7.3.1 Protection from Oxygen

In photobiological H_2 -producing systems that split water, most of the complications arising from the presence of O_2 can be eliminated if the gas can be removed from the reaction medium. Unfortunately, this requires a substantial input of mechanical or chemical energy so that the overall system may become rather uneconomical from an energy standpoint.

An alternative solution to the problem of O_2 -inhibition is to separate the oxygenic photosynthetic electron transport reactions from the H_2 -producing reactions either spatially or temporally. The best example of *in vivo* spatial separation is found in heterocystous cyanobacteria (see Sections 3.2 and 5.1.3).

Since both the oxygenic photosynthetic apparatus and the H_2 catalysts are found within the same cell, the possibility of spatial separation is precluded in algae and nonheterocystous cyanobacteria. However, it may be possible to devise a scheme that allows for a temporal separation of oxygenic photosynthetic reductant formation and storage from anoxygenic H_2 production (see Sections 3.2 and 5.1.3). In principle, this can be approached by introducing a conditional (i.e., temperature sensitive) mutation blocking the O_2 -evolution activity (at the oxidizing side of PSI). Through a simple shift of the temperature by a few degrees, O_2 evolution could be effectively shut down as long as the nonpermissive temperature is maintained. The respiratory activity of the organism would rapidly decrease the O_2 tension in the medium (in an enclosed bioreactor) and an anaerobic environment could be generated. Thus, anoxygenic H_2 production could be initiated and maintained until the stored reductants were exhausted. Another cycle of reductant generation would be triggered by shifting the temperature back to the permissive range. Another possibility might involve photosynthesis during the day and H_2 evolution at night as mentioned in Section 5.1.3. Both schemes are equivalent to the two-stage H_2 production system described in Section 5.1.4, except that both stages of the reaction are accomplished with the same organism.

In cell-free systems, two ways of separating the O_2 - and H_2 -evolving reactions have been proposed. Egan and Scott (1978) explored the basic bioengineering and enzymatic parameters of a two-stage device consisting of immobilized chloroplasts and immobilized hydrogenase located in separate bioreactors with ferredoxin solution (the redox carrier) linking the two. The circulation of ferredoxin solution on a large scale through the two reactors, however, might prove to be very costly. Furthermore, auto-oxidation of reduced ferredoxin by chloroplast-generated O_2 in the first reactor remains a major problem. Yagi (1976) attempted to separate hydrogenase-catalyzed H_2 evolution from the electron-donating system by means of an enzymatic electric cell technique. This method is not a successful solution to the problem since electrons were supplied by a zinc electrode. Linkup of the electric cell system to a chloroplast reductant-generating system was not attempted.

Obviously, research involving novel approaches is warranted. In particular, microscopic separation of the reductant-generating and H_2 -evolving components might be achieved by means of an organized arrangement of the two systems on

an artificial O_2 -impermeable membrane. This would limit the presence of O_2 to one side of the membrane where it would not interfere with the H_2 -evolving catalysts.

7.3.2 Stabilization of Biological Components

The instability of in vitro biological components is a critical problem in cell-free H_2 -photoproduction systems. The rapid decline of the catalytic activity of most hydrogenase preparations in the presence of O_2 has been a major concern. Currently there is no effective means to counter the O_2 -sensitivity of free, unmodified hydrogenase in solution except by exhaustive removal of O_2 from the medium. On the other hand, Klibanov et al. (1978) have exploited the principle of "salting out" of gases and postulated that the O_2 solubility in the microenvironment of highly charged surfaces of polyionic solid adsorbants may be sufficiently low to protect hydrogenase from O_2 even though the bulk liquid medium is in equilibrium with air. In fact, the authors demonstrated that the half-life of clostridial hydrogenase (4 min in air) increases by a factor of 3,000 to 8.3 days when the enzyme is immobilized on a polyethyleneimine cellulose support. Furthermore, the immobilized hydrogenase preparations not only retain over 50% of the initial enzyme activity, but are also capable of utilizing both reduced methyl viologen and reduced ferredoxin as electron donors for H_2 evolution. Yagi (1977) has also immobilized hydrogenase by entrapment on polyacrylamide gel thereby improving its stability.

Another significant advance in the area of hydrogenase O_2 sensitivity was achieved through an extensive survey of microbial sources for enzymes having high intrinsic O_2 -tolerance (McBride et al., 1977; Schneider and Schlegel, 1977; van der Western et al., 1978). The most stable enzymes yet found have been isolated from Chromatium (Gitlitz and Krasna, 1975), A. entrophus (Schneider and Schlegel, 1977) and D. vulgaris, (van der Western et al., 1978). In these cases, the active hydrogenases were obtained using aerobic preparative procedures. Preparations can be stored in air and retain 40% or more of the initial activity for a period of several weeks (Schneider and Schlegel, 1977; van der Western et al., 1978). Application of the stabilizing technique of Klibanov et al., (1978) to these and other naturally O_2 -tolerant enzymes may result in preparations sufficiently stable for use as a component in practical cell-free H_2 production systems.

Ever since the discovery of the Hill reaction in isolated chloroplasts nearly four decades ago, the instability of in vitro chloroplast activity has been a continuing problem in photosynthesis research. In the past, efforts to improve the stability of the isolated photosynthetic apparatus were limited to empirical attempts to minimize only the instability associated with certain partial reactions of special interest to the investigator. A systematic endeavor designed to produce highly stable chloroplast preparations for long-term H_2 production has not been actively pursued until very recently (Morris et al., 1978).

Preservation of the photochemical activity of chloroplast preparations against thermal degradative processes (Section 4.2.2) has been partially successful through empirical variation of the osmolarity, pH, ion composition, and ionic strength in the suspension medium. In general, the electron transport

activity of chloroplast preparations are better preserved in slightly hypertonic (equivalent to 0.4M sucrose) medium buffered at near neutral pH (6.5-8). Inclusion of various "protective" agents such as polyethylene glycol (Krogmann and Jagendorf, 1959; Oquist et al., 1974), glycerol (Packer and Barnard, 1966; Wassermann and Fleisher, 1968), and BSA (Wassermann and Fleisher, 1968; Wintermans et al., 1969; Anderson et al., 1974; Morris, et al., 1978) usually improve the stability of photochemical activity under a variety of storage conditions. Among these "protective" agents, BSA appears to be the most effective against destabilization associated with the inhibitory actions of fatty acids and other products of lipid degradation. However, the use of BSA in large quantity may not be economically feasible. Furthermore, BSA and other "protective agents" only appear effective for storage conditions under which the photosynthetic apparatus is functionally dormant (Morris et al., 1978). Presumably, these "protective agents" are not effective against photochemical degradation of the photosynthetic apparatus.

In recent years, several novel approaches toward stabilization of chloroplast structure and function have been attempted. These include chemical modification of the thylakoid membranes using bifunctional cross-linking agents such as glutaraldehyde and imidoesters (Park et al., 1966; Hallier and Park 1969, Packer, 1976; Hardt and Kok, 1976; Rao et al., 1976); microencapsulation of chloroplast particles in artificial membranes (Kitajima and Butler, 1976); immobilization of chloroplasts in polyacrylamide gel (Ochiai et al., 1977); and entrapment of chloroplasts into the porous matrix of specially treated glass beads (Nugent, 1972). With the limited amount of work reported to date, it is difficult to predict the outcome of these stabilization approaches. Apparently, some of the methods are successful against dark thermal inactivation, but stabilization against photochemical inactivation of the electron transport reactions has yet to be demonstrated (Rao et al., 1976; Morris et al., 1978).

In any event, stabilization of the photochemical activity of the photosynthetic apparatus in vitro requires a better understanding of both thermal and photochemical inactivation processes as well as a better characterization of the structure and function of the thylakoid membranes. Such knowledge is a prerequisite for a systematic approach to the solution of the problem and must be solved if cell-free biological H_2 -evolving systems are ever to contribute to our energy supply.

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SECTION 8.0

COMMENTS AND FUTURE RESEARCH DIRECTIONS

None of the currently identified photobiological H_2 -producing systems have reached the development point at which pilot plant operations would be of benefit. However, progress during the past few years has turned a laboratory curiosity into a phenomenon that may be applicable in future solar energy conversion applications. Conversion efficiencies in whole-cell biophotolysis systems (algae and cyanobacteria) might approach 4% to 5% while those in cell-free systems could reach 10%. Conversion efficiencies in H_2 photoproduction systems utilizing photosynthetic bacteria might be much higher since both the substrates and sunlight contribute energy to the process. In comparison, biomass production schemes presently exhibit maximal solar conversion efficiencies of 1% to 1.5% (on an annual basis) and the product (wood, etc.) is not as convenient a fuel or chemical as H_2 .

Before substantive recommendations are made for dealing with near- to long-term research and development, however, it must be pointed out once again that there is considerable variation in how H_2 evolution rates are reported. This has caused confusion and in many cases prevented the direct comparison of results obtained by different investigators with different organisms. Consequently, there is a need for a standard assay to relate H_2 production rates in different organisms or systems. Such an assay should measure the effective yield of H_2 evolution in an organism exposed to well-defined conditions. In addition, when reporting rates of H_2 production enough information should be given (i.e., chlorophyll concentration, dry weight, volume of material, etc.) so that comparison with previous results is possible.

Current prospects for near- to mid-term applications rest mainly with the whole cell systems, including those using cyanobacteria or photosynthetic bacteria. Biophotolysis has received a great deal of recent attention because water is the substrate for the photoproduced H_2 . Cyanobacteria are probably the most promising organisms that carry out this process because they fix their own nitrogen (and thus are inexpensive to grow and maintain), evolve H_2 for extended periods of time (>1 month), and are not sensitive to O_2 degradation. On the negative side, the field conversion efficiencies are only a few tenths of a percent and the H_2 produced must be separated from other gases. Photosynthetic bacteria may photoconvert organic substrates in such wastes as sewage, crop- and food-processing residues, and cellulosic materials. Their H_2 photoevolution rates (on defined media) are much higher than rates observed for other types of photosynthetic organisms. Problems associated with O_2 inactivation of H_2 evolution and with the separation of H_2 from other gases are minimized since these organisms do not evolve O_2 . In addition, the organisms are easily manipulated genetically, thus increasing the probability of obtaining increased H_2 photoproduction rates. As yet they have received little serious attention as a basis of applied systems. Since no major technological breakthroughs are needed, they represent the greatest area of promise for near-term applications.

Consequently, research with both procaryotic classes of organisms should continue, emphasizing:

- surveys of naturally occurring strains including marine and thermophilic organisms to identify those having the most active H_2 metabolism;
- selection of mutant organisms with constitutive derepression of hydrogenase and nitrogenase (which would result in higher evolution rates and a good source of enzyme for cell-free systems);
- genetic manipulation of cellular metabolism to maximize H_2 photo-production; and
- studies identifying the environmental conditions leading to optimal H_2 production.

Long-range applications should emphasize cell-free systems based on the H_2 photoproduction pathway found in green algae. Green algae employ a rather direct electron pathway between water and H_2 and do not have the metabolic complications associated with N_2 fixation. Furthermore, they represent an energetically feasible model system and use water as a substrate. Specific research directions in this area should emphasize:

- detailed studies of the active site(s) of hydrogenase (and also of nitrogenase) which would aid in the synthesis of stable, active analogues for use in applied systems;
- stabilization of hydrogenase;
- stabilization of the photosynthetic membrane and in particular PSII-associated O_2 evolution; and
- biochemical and biophysical studies of water splitting and reaction center mechanisms that may give insight into the development of chemical analogues.

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